

Two Sums of Stirling Subset Numbers

Homework for ITT9131 Concrete Mathematics

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1 The Problem

Exercise 6.32 in the course textbook: we obtained the formulas

$$\sum_{k \leq m} \binom{n+k}{k} = \binom{n+m+1}{m}$$

and

$$\sum_{0 \leq k \leq m} \binom{k}{n} = \binom{m+1}{n+1}$$

by unfolding the recurrence

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$$

in two ways. What identities appear when the analogous recurrence

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\} + \left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\}$$

is unwound?

2 Unwinding to the Right

With such a clear hint in the problem statement, it's natural to start from just checking what we get by unwinding (assuming $m, n \geq 0$):

$$\begin{aligned}
 \binom{n+m+1}{m} &= m \binom{n+m}{m} + \binom{n+m}{m-1} \\
 &= m \binom{n+m}{m} + (m-1) \binom{n+m-1}{m-1} + \binom{n+m-1}{m-2} \\
 &\dots \\
 &= m \binom{n+m}{m} + \dots + 2 \binom{n+2}{2} + 1 \binom{n+1}{1} + \binom{n+1}{0}.
 \end{aligned}$$

Noting that $\binom{n+1}{0} = 0$ for $n \geq 0$ and assuming the original combinatorial interpretation of $\binom{n}{m}$ (where $\binom{n}{m} = 0$ for all $m < 0$ irrespective of n), we get the hypothesis

$$\sum_{k \leq m} k \binom{n+k}{k} = \binom{n+m+1}{m},$$

which we can prove by induction:

Base: For $m = 0$, we have

$$\sum_{k \leq 0} k \binom{n+k}{k} = 0 = \binom{n+1}{0},$$

as $\binom{n+k}{k} = 0$ for all $k < 0$ and $k \binom{n+k}{k} = 0$ for $k = 0$ on the left side, and also $\binom{n+1}{0} = 0$ for $n \geq 0$ on the right side, so indeed both sides vanish.

Step: Assume $\sum_{k \leq m} k \binom{n+k}{k} = \binom{n+m+1}{m}$. Then

$$\begin{aligned}
 \sum_{k \leq m+1} k \binom{n+k}{k} &= \sum_{k \leq m} k \binom{n+k}{k} + (m+1) \binom{n+m+1}{m+1} \\
 &= \binom{n+m+1}{m} + (m+1) \binom{n+m+1}{m+1} \\
 &= \binom{n+m+2}{m+1},
 \end{aligned}$$

which again matches, and so we have proven our hypothesis (for $n, m \geq 0$, and assuming the combinatorial interpretation of $\binom{n}{m}$).

3 Unwinding to the Left

Like in the previous case, we start by following the hint in the problem statement and unwinding (assuming $m, n \geq 0$ again):

$$\begin{aligned}
\left\{ \begin{matrix} m+1 \\ n+1 \end{matrix} \right\} &= (n+1) \left\{ \begin{matrix} m \\ n+1 \end{matrix} \right\} + \left\{ \begin{matrix} m \\ n \end{matrix} \right\} \\
&= (n+1) \left((n+1) \left\{ \begin{matrix} m-1 \\ n+1 \end{matrix} \right\} + \left\{ \begin{matrix} m-1 \\ n \end{matrix} \right\} \right) + \left\{ \begin{matrix} m \\ n \end{matrix} \right\} \\
&= (n+1)^2 \left\{ \begin{matrix} m-1 \\ n+1 \end{matrix} \right\} + (n+1) \left\{ \begin{matrix} m-1 \\ n \end{matrix} \right\} + \left\{ \begin{matrix} m \\ n \end{matrix} \right\} \\
&\dots \\
&= (n+1)^{m+1} \left\{ \begin{matrix} 0 \\ n+1 \end{matrix} \right\} + (n+1)^m \left\{ \begin{matrix} 0 \\ n \end{matrix} \right\} + \dots + (n+1) \left\{ \begin{matrix} m-1 \\ n \end{matrix} \right\} + \left\{ \begin{matrix} m \\ n \end{matrix} \right\}.
\end{aligned}$$

Noting that $\left\{ \begin{matrix} 0 \\ n+1 \end{matrix} \right\} = 0$ for $n \geq 0$, we get the hypothesis

$$\sum_{0 \leq k \leq m} (n+1)^{m-k} \left\{ \begin{matrix} k \\ n \end{matrix} \right\} = \left\{ \begin{matrix} m+1 \\ n+1 \end{matrix} \right\},$$

which we can prove by induction:

Base: For $m = 0$, we have

$$\sum_{0 \leq k \leq 0} (n+1)^{-k} \left\{ \begin{matrix} k \\ n \end{matrix} \right\} = \left\{ \begin{matrix} 0 \\ n \end{matrix} \right\} = [n = 0] = \left\{ \begin{matrix} 1 \\ n+1 \end{matrix} \right\},$$

as it should be.

Step: Assume $\sum_{0 \leq k \leq m} (n+1)^{m-k} \left\{ \begin{matrix} k \\ n \end{matrix} \right\} = \left\{ \begin{matrix} m+1 \\ n+1 \end{matrix} \right\}$. Then

$$\begin{aligned}
\sum_{0 \leq k \leq m+1} (n+1)^{(m+1)-k} \left\{ \begin{matrix} k \\ n \end{matrix} \right\} &= \sum_{0 \leq k \leq m} (n+1)^{(m-k)+1} \left\{ \begin{matrix} k \\ n \end{matrix} \right\} + (n+1)^0 \left\{ \begin{matrix} m+1 \\ n \end{matrix} \right\} \\
&= (n+1) \sum_{0 \leq k \leq m} (n+1)^{m-k} \left\{ \begin{matrix} k \\ n \end{matrix} \right\} + \left\{ \begin{matrix} m+1 \\ n \end{matrix} \right\} \\
&= (n+1) \left\{ \begin{matrix} m+1 \\ n+1 \end{matrix} \right\} + \left\{ \begin{matrix} m+1 \\ n \end{matrix} \right\} \\
&= \left\{ \begin{matrix} m+2 \\ n+2 \end{matrix} \right\},
\end{aligned}$$

which again matches, and so we have proven our hypothesis (for $n, m \geq 0$).