

The equational logic of concurrent processes

Results and proof techniques

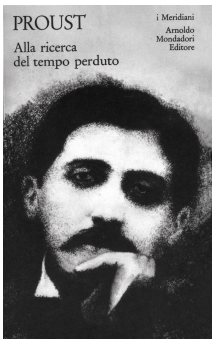
Luca Aceto

ICE-TCS, Dept. of Computer Science, Reykjavik University
Gran Sasso Science Institute, L'Aquila

EWSCS 2026, Viinistu, 2–5 March 2026



Why this short course?



Attribution-ShareAlike 4.0 International

(CC BY-SA 4.0)



“Mathematicians, like Proust and everyone else, are at their best when writing about their first love.” (Gian-Carlo Rota, *Discrete Thoughts*, p. 3)

Why this short course?



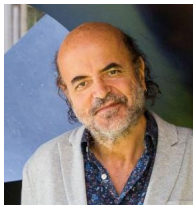
The moral of this story



Take-home message (and side remarks)

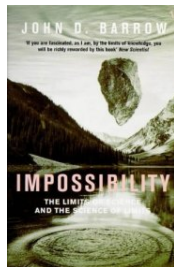
- The life of a process algebraist is (equationally) hard 😞.
- Negative results abound.
- Call for new proof techniques.
- “Standard” proof technique \neq Easy/shallow result.

Why you might want to care

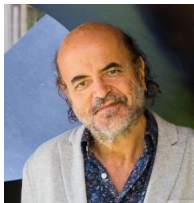


Christos Papadimitriou's viewpoint

Negative results are **the only possible** self-contained theoretical results in Computer Science. Successful exploratory theoretical research is bound to produce predominantly negative results. (From “Database metatheory: Asking the big queries”)

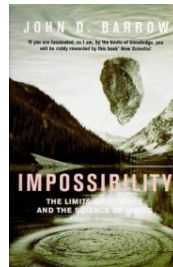


Why you might want to care



Christos Papadimitriou's viewpoint

Negative results are **the only possible** self-contained theoretical results in Computer Science. Successful exploratory theoretical research is bound to produce predominantly negative results. (From “**Database metatheory: Asking the big queries**”)



There is still much to be done in the equational logic of processes!



Fact of CS life

We use formal languages to communicate with machines and describe expected properties of computations.



Fact of CS life

We use formal languages to communicate with machines and describe expected properties of computations.

A key question When do two expressions describe the “same thing”?

- Abstract data types/algebraic specifications.
- Optimisation in compilers.
- Program analysis/partial evaluation.
- Correctness: Is **SPEC**ification equivalent to **IMP**lementation?

A key question When do two expressions describe the “same thing”?

- Abstract data types/algebraic specifications.
- Optimisation in compilers.
- Program analysis/partial evaluation.
- Correctness: Is SPECification equivalent to IMPlementation?

Tenet

Equational logic can be used to capture “valid” equivalences. In process algebra, the equational characterisation of parallel composition is key.

The Challenge

Given some algebraic **signature** Σ , and some **congruence** \sim over (closed) terms

Is there a (finite) set \mathcal{E} of Σ -equations $t_1 \approx t_2$ such that

$$t \sim u \Leftrightarrow \mathcal{E} \vdash t \approx u$$

for all (closed) Σ -terms t, u ?

\mathcal{E} is called a **sound** and **(ground-)complete** axiomatisation.

Why is this an interesting game?

Answer

An equational axiomatisation

- 1 tells you all you need to know about your notion of program equivalence;
- 2 allows you to relate it to other types of program equivalence by simply looking at laws;
- 3 may form the basis for program verification tools based on theorem proving technology.

Equational characterizations also find practical use in verification, e.g., in normalization. Yet, they are most useful in illustrating the essential difference between process semantics, and thus form a guide in choosing the right one for an application. (Rob van Glabbeek)

Why is this a hard game?



Source: <https://www.allformarathon.com/are-there-any-real-benefits-of-cold-shower-for-runners/>

Cold shower

The life of a concurrency theorist is equationally hard ☹️.

In many situations and even for **very simple** languages, non-finite axiomatisability lurks.

Examples?

What's next?

- Algebras and equational logic.
- Introduction to positive and negative results for some “simple” algebras.
- First proof techniques.
- First bridge to process algebras.

Signatures and terms: Syntax

- V , a countably infinite set of **variables** ($x, y, w, z \in V$).
- A **signature** Σ is a set of operation symbols each with its **arity**. (Assumption: $\Sigma \cap V = \emptyset$.)

Terms

The set $T(\Sigma, V)$ of **terms** over Σ is the least set such that

- $V \subseteq T(\Sigma, V)$.
- If f is an n -ary operation symbol and $t_1, \dots, t_n \in T(\Sigma, V)$, then $f(t_1, \dots, t_n) \in T(\Sigma, V)$.

A term t is **closed** (aka **ground**) if it doesn't contain variables.

$T(\Sigma)$ is the set of all **closed** terms.

Reading material: A., Fokkink, Ingólfssdóttir and Luttk. Finite Equational Bases in Process Algebra: Results and Open Questions.

Terms

The set $T(\Sigma, V)$ of **terms** over Σ is the least set such that

- $V \subseteq T(\Sigma, V)$.
- If f is an n -ary operation symbol and $t_1, \dots, t_n \in T(\Sigma, V)$, then $f(t_1, \dots, t_n) \in T(\Sigma, V)$.

A term t is **closed** (aka **ground**) if it doesn't contain variables.

$T(\Sigma)$ is the set of all **closed** terms.

Example: Natural numbers with \max

Two signatures for natural numbers with \max :

- $\Sigma_1 = \{0, S, \vee\}$ and
- $\Sigma_2 = \{0, +, \vee\}$,

where 0 is a constant symbol, S is unary, and \vee and $+$ are binary.

Terms

The set $T(\Sigma, V)$ of **terms** over Σ is the least set such that

- $V \subseteq T(\Sigma, V)$.
- If f is an n -ary operation symbol and $t_1, \dots, t_n \in T(\Sigma, V)$, then $f(t_1, \dots, t_n) \in T(\Sigma, V)$.

A term t is **closed** (aka **ground**) if it doesn't contain variables.

$T(\Sigma)$ is the set of all **closed** terms.

Example: A core process algebra

Signature for BCCSP:

- a constant $\mathbf{0}$,
- a binary operator $+$, and
- unary prefix operators a , where a ranges over a nonempty set A of actions.

Terms

The set $T(\Sigma, V)$ of **terms** over Σ is the least set such that

- $V \subseteq T(\Sigma, V)$.
- If f is an n -ary operation symbol and $t_1, \dots, t_n \in T(\Sigma, V)$, then $f(t_1, \dots, t_n) \in T(\Sigma, V)$.

A term t is **closed** (aka **ground**) if it doesn't contain variables.

$T(\Sigma)$ is the set of all **closed** terms.

Congruences

A **congruence** over $T(\Sigma, V)$ is an equivalence relation \sim such that, for each $f \in \Sigma$,

if $t_i \sim u_i$ ($1 \leq i \leq n$) then $f(t_1, \dots, t_n) \sim f(u_1, \dots, u_n)$.

Σ -algebras

$$\mathcal{A} = (\mathbf{A}, \{f^{\mathcal{A}} \mid f \in \Sigma\}),$$

where:

- $\mathbf{A} \neq \emptyset$ is a set (the **carrier** of the algebra), and
- $f^{\mathcal{A}} : \mathbf{A}^n \rightarrow \mathbf{A}$, for each n -ary $f \in \Sigma$.

Examples?

Fact

$T(\Sigma)$ is the **initial** Σ -algebra.

Σ -algebras

$$\mathcal{A} = (\mathbf{A}, \{f^{\mathcal{A}} \mid f \in \Sigma\}),$$

where:

- $\mathbf{A} \neq \emptyset$ is a set (the **carrier** of the algebra), and
- $f^{\mathcal{A}} : \mathbf{A}^n \rightarrow \mathbf{A}$, for each n -ary $f \in \Sigma$.

The meaning of terms: $T(\Sigma, V)$ is the free algebra

Each environment $\rho : V \rightarrow \mathbf{A}$ can be extended to terms homomorphically in a **unique way** thus:

$$\rho(f(t_1, \dots, t_n)) = f^{\mathcal{A}}(\rho(t_1), \dots, \rho(t_n)).$$

Σ -algebras

$$\mathcal{A} = (\mathbf{A}, \{f^{\mathcal{A}} \mid f \in \Sigma\}),$$

where:

- $\mathbf{A} \neq \emptyset$ is a set (the **carrier** of the algebra), and
- $f^{\mathcal{A}} : \mathbf{A}^n \rightarrow \mathbf{A}$, for each n -ary $f \in \Sigma$.

The meaning of terms: $T(\Sigma, V)$ is the free algebra

Each environment $\rho : V \rightarrow \mathbf{A}$ can be extended to terms homomorphically in a **unique way** thus:

$$\rho(f(t_1, \dots, t_n)) = f^{\mathcal{A}}(\rho(t_1), \dots, \rho(t_n)).$$

When t is closed we write $t^{\mathcal{A}}$ for its interpretation in \mathcal{A} . We say that $a \in \mathbf{A}$ is **denotable** if $a = t^{\mathcal{A}}$ for some t . **Examples?**

The meaning of terms: $T(\Sigma, V)$ is the free algebra

Each environment $\rho : V \rightarrow \mathbf{A}$ can be extended to terms homomorphically in a **unique way** thus:

$$\rho(f(t_1, \dots, t_n)) = f^{\mathbf{A}}(\rho(t_1), \dots, \rho(t_n)).$$

Interpretations \rightsquigarrow congruences

Let $t, u \in T(\Sigma, V)$ and \mathcal{A} be a Σ -algebra. Then,

$$t =_{\mathcal{A}} u \text{ iff } \rho(t) = \rho(u), \text{ for each environment } \rho.$$

Examples?

Interpretations \rightsquigarrow congruences

Let $t, u \in T(\Sigma, V)$ and \mathcal{A} be a Σ -algebra. Then,

$$t =_{\mathcal{A}} u \text{ iff } \rho(t) = \rho(u), \text{ for each environment } \rho.$$

Examples?

Our main question

Can one give a **syntactic characterisation** of the congruence $=_{\mathcal{A}}$ over (closed) terms in $T(\Sigma, V)$?

Equational logic

An **axiom system** is a set \mathcal{E} of equations (aka **axioms**) $t \approx u$, where $t, u \in \mathsf{T}(\Sigma, V)$.

A **substitution** is a function $\sigma : V \rightarrow \mathsf{T}(\Sigma, V)$. A **closed** substitution has codomain $\mathsf{T}(\Sigma)$.

Provability in equational logic: $\mathcal{E} \vdash t \approx u$

$$\frac{}{t \approx t} \quad \frac{u \approx t}{t \approx u} \quad \frac{t \approx u \quad u \approx v}{t \approx v} \quad \frac{}{t \approx u} (t \approx u) \in \mathcal{E}$$
$$\frac{t \approx u}{\sigma(t) \approx \sigma(u)} \quad \frac{t_1 \approx u_1, \dots, t_n \approx u_n}{f(t_1, \dots, t_n) \approx f(u_1, \dots, u_n)}$$

Examples?

Suggested reading for the keenest: Walter Taylor. Equational logic. Houston Journal of Mathematics, survey 1979, iii+83 pp.

Provability in equational logic: $\mathcal{E} \vdash t \approx u$

$$\frac{}{t \approx t} \quad \frac{u \approx t}{t \approx u} \quad \frac{t \approx u \quad u \approx v}{t \approx v} \quad \frac{}{t \approx u} (t \approx u) \in \mathcal{E}$$
$$\frac{t \approx u}{\sigma(t) \approx \sigma(u)} \quad \frac{t_1 \approx u_1, \dots, t_n \approx u_n}{f(t_1, \dots, t_n) \approx f(u_1, \dots, u_n)}$$

Variants

- Substitution only for axioms in \mathcal{E} :

$$\frac{}{\sigma(t) \approx \sigma(u)} (t \approx u) \in \mathcal{E}$$

- If \mathcal{E} is closed under symmetry, there is no need for

$$\frac{u \approx t}{t \approx u}$$

Provability in equational logic: $\mathcal{E} \vdash t \approx u$

$$\frac{}{t \approx t} \quad \frac{u \approx t}{t \approx u} \quad \frac{t \approx u \quad u \approx v}{t \approx v} \quad \frac{}{t \approx u} (t \approx u) \in \mathcal{E}$$
$$\frac{t \approx u}{\sigma(t) \approx \sigma(u)} \quad \frac{t_1 \approx u_1, \dots, t_n \approx u_n}{f(t_1, \dots, t_n) \approx f(u_1, \dots, u_n)}$$

Variant: Inequational logic

Omit the symmetry rule

$$\frac{u \approx t}{t \approx u}$$

Inequation: $t \preceq u$.

Provability in equational logic: $\mathcal{E} \vdash t \approx u$

$$\frac{}{t \approx t} \quad \frac{u \approx t}{t \approx u} \quad \frac{t \approx u \quad u \approx v}{t \approx v} \quad \frac{}{t \approx u} (t \approx u) \in \mathcal{E}$$
$$\frac{t \approx u}{\sigma(t) \approx \sigma(u)} \quad \frac{t_1 \approx u_1, \dots, t_n \approx u_n}{f(t_1, \dots, t_n) \approx f(u_1, \dots, u_n)}$$

Exercise

Assume that σ and σ' are substitutions such that $\mathcal{E} \vdash \sigma(x) \approx \sigma'(x)$ for each $x \in V$. Prove that, for every term t ,

$$\mathcal{E} \vdash \sigma(t) \approx \sigma'(t).$$

Let \mathcal{A} be a Σ -algebra.

Soundness

An axiom system \mathcal{E} is **sound** with respect to $=_{\mathcal{A}}$ iff for all t, u , if $\mathcal{E} \vdash t \approx u$ then $t =_{\mathcal{A}} u$. In this case, we say that \mathcal{A} is a **model** of \mathcal{E} .

Birkhoff's completeness theorem (1935)

$\mathcal{E} \vdash t \approx u$ iff $t =_{\mathcal{A}} u$ in all models \mathcal{A} of \mathcal{E} .

See Section 2.2 of George F. McNulty's notes on equational logic for a proof.

Let \mathcal{A} be a Σ -algebra.

Soundness

An axiom system \mathcal{E} is **sound** with respect to $=_{\mathcal{A}}$ iff for all t, u , if $\mathcal{E} \vdash t \approx u$ then $t =_{\mathcal{A}} u$. In this case, we say that \mathcal{A} is a **model** of \mathcal{E} .

Shades of completeness

- An axiom system \mathcal{E} is **(ground) complete** with respect to $=_{\mathcal{A}}$ iff for all (closed) t, u , if $t =_{\mathcal{A}} u$ then $\mathcal{E} \vdash t \approx u$.
- An axiom system \mathcal{E} is **ω -complete** iff for all t, u ,

$\mathcal{E} \vdash t \approx u$ iff $\mathcal{E} \vdash \sigma(t) \approx \sigma(u)$, for all **closed** substitutions σ .

Let \mathcal{A} be a Σ -algebra.

Shades of completeness

- An axiom system \mathcal{E} is **(ground) complete** with respect to $=_{\mathcal{A}}$ iff for all (closed) t, u , if $t =_{\mathcal{A}} u$ then $\mathcal{E} \vdash t \approx u$.
- An axiom system \mathcal{E} is **ω -complete** iff for all t, u ,

$\mathcal{E} \vdash t \approx u$ iff $\mathcal{E} \vdash \sigma(t) \approx \sigma(u)$, for all **closed** substitutions σ .

Theorem

Let \mathcal{A} be a Σ -algebra whose elements are all denotable. Assume that \mathcal{E} is sound and complete for $=_{\mathcal{A}}$. Then, \mathcal{E} is also ω -complete.

Sound and ground complete, but not complete

$$0 \vee x \approx x \quad x \vee 0 \approx x \quad S(x) \vee S(y) \approx S(x \vee y).$$

The equation $x \vee x \approx x$ **cannot** be derived! **Why?**

Complete and ω -complete

$$x \vee 0 \approx x \quad S(x) \vee S(y) \approx S(x \vee y) \quad S(x) \vee x \approx S(x)$$

$$x \vee x \approx x \quad x \vee y \approx y \vee x \quad x \vee (y \vee z) \approx (x \vee y) \vee z.$$

Sound and ground complete, but not complete

$$0 \vee x \approx x \quad x \vee 0 \approx x \quad S(x) \vee S(y) \approx S(x \vee y).$$

The equation $x \vee x \approx x$ **cannot** be derived! **Why?**

Complete and ω -complete

$$\begin{aligned} x \vee 0 \approx x \quad S(x) \vee S(y) \approx S(x \vee y) \quad S(x) \vee x \approx S(x) \\ x \vee x \approx x \quad x \vee y \approx y \vee x \quad x \vee (y \vee z) \approx (x \vee y) \vee z. \end{aligned}$$

How can one prove these claims?

Goal

Prove that \mathcal{E} is a sound and (ground) complete axiomatisation of $=_{\mathcal{A}}$ (aka, of \mathcal{A}).

A three-step proof strategy

- 1 Identify normal forms.
- 2 Show that each (closed) term can be proved equal to a normal form using \mathcal{E} .
- 3 Prove that two normal forms are related by $=_{\mathcal{A}}$ iff they are “identical”.

Let's do it!

Some sound equations

$$x \vee 0 \approx x \quad x \vee y \approx y \vee x \quad x \vee (y \vee z) \approx (x \vee y) \vee z$$

$$x + 0 \approx x \quad x + y \approx y + x \quad x + (y + z) \approx (x + y) + z$$

$$(x \vee y) + z \approx (x + z) \vee (y + z).$$

- The equations

$$(x + y) \vee x \approx x + y \quad x \vee x \approx x$$

can be derived!

- The above equations can prove all the valid equations in **at most one variable**.

The first cold shower (A., Ésik and Ingólfssdóttir)

The max-plus algebra of the natural numbers has no finite equational axiomatisation!

- 1 There is no finite set of sound equations that can prove all the valid equations in **two** variables. (See STACS 2000.)
- 2 For each $n \geq 1$, the set of valid equations in n variables is **not** complete. (See TCS 2003.)
- 3 These negative results extend to **tropical semirings**—see TCS 2003.

The first cold shower (A., Ésik and Ingólfssdóttir)

The max-plus algebra of the natural numbers has no finite equational axiomatisation!

- 1 There is no finite set of sound equations that can prove all the valid equations in **two** variables. (See STACS 2000.)
- 2 For each $n \geq 1$, the set of valid equations in n variables is **not** complete. (See TCS 2003.)
- 3 These negative results extend to **tropical semirings**—see TCS 2003.

How can one prove these claims?



Proof technique for negative results No. 1: Model-theoretic approach

The approach in one pill

For each sound axiomatisation \mathcal{E} with some property, find a **model** for \mathcal{E} and a sound equation that fails in this model.

Proof technique for negative results No. 1: Model-theoretic approach

The approach in one pill

For each sound axiomatisation \mathcal{E} with some property, find a **model** for \mathcal{E} and a sound equation that fails in this model.



$$nx \vee ny \approx n(x \vee y) \quad (n \geq 0)$$

$$p_n \vee q_n \approx q_n \quad (n \geq 2)$$

Example from the second family

$$p_3 = x_1 + x_2 + x_3$$

$$q_3 = (2x_1 + x_3) \vee (x_1 + 2x_2) \vee (x_2 + 2x_3)$$

Proof technique for negative results No. 1: Model-theoretic approach

The approach in one pill

For each sound axiomatisation \mathcal{E} with some property, find a **model** for \mathcal{E} and a sound equation that fails in this model.



Another 'nasty' family of equations, $n \geq 1$:

$$nx_1 \vee \dots \vee nx_n \approx nx_1 \vee \dots \vee nx_n \vee x_1 + \dots + x_n$$

Proof technique for negative results No. 1: Model-theoretic approach

The approach in one pill

For each sound axiomatisation \mathcal{E} with some property, find a **model** for \mathcal{E} and a sound equation that fails in this model.



Another 'nasty' family of equations, $n \geq 1$:

$$nx_1 \vee \dots \vee nx_n \approx nx_1 \vee \dots \vee nx_n \vee x_1 + \dots + x_n$$

What does this have to do with process algebra?

A first bridge to process algebra

The Language

BPA

action a

choice $t + u$

variables x

sequencing $t \cdot u$

Semantics

The set $T(t)$ of **traces** of a closed BPA term is defined thus:

$$T(a) = \{\varepsilon, a\}$$

$$T(t + u) = T(t) \cup T(u)$$

$$T(t \cdot u) = T(t) \cup \{a^k w \mid a^k \text{ longest in } T(t) \text{ and } w \in T(u)\}.$$

Two closed terms t, u are **trace equivalent**, written $t \simeq_T u$, iff $T(t) = T(u)$.

A first bridge to process algebra

Semantics

The set $T(t)$ of **traces** of a closed BPA term is defined thus:

$$T(a) = \{\varepsilon, a\}$$

$$T(t + u) = T(t) \cup T(u)$$

$$T(t \cdot u) = T(t) \cup \{a^k w \mid a^k \text{ longest in } T(t) \text{ and } w \in T(u)\}.$$

Two closed terms t, u are **trace equivalent**, written $t \simeq_{\mathcal{T}} u$, iff $T(t) = T(u)$.

Theorem (A., Ésik and Ingólfssdóttir)

- 1 The relation $\simeq_{\mathcal{T}}$ is a congruence.
- 2 The algebra of closed BPA terms modulo $\simeq_{\mathcal{T}}$ has no finite equational axiomatisation.

Why?

What's next in our story?

- Some core process algebras (focus on CCS).
- Positive and negative results for some “simple” process algebras.
- Proof techniques.
- Open problems.

Notation

I will often write equations as $t = u$.

The Language

CCS **nil** 0 **prefixing** $a t$ **variables** x
choice $t + u$ **parallel** $t || u$

where a is an action drawn from a non-empty, finite set A . We assume A includes τ and complementary actions.

The Language

CCS **nil** 0 **prefixing** at **variables** x
choice $t + u$ **parallel** $t \parallel u$

where a is an action drawn from a non-empty, finite set A . We assume A includes τ and complementary actions.

Its (Operational) Semantics (Sample Rules)

Given by **transitions** between terms of the form $t \xrightarrow{a} u$. These associate a loop-free finite automaton with each term. How?

$$\frac{}{ax \xrightarrow{a} x} \quad \frac{x \xrightarrow{a} x'}{x + y \xrightarrow{a} x'} \quad \frac{x \xrightarrow{a} x'}{x \parallel y \xrightarrow{a} x' \parallel y} \quad \frac{x \xrightarrow{a} x', y \xrightarrow{\bar{a}} y'}{x \parallel y \xrightarrow{\tau} x' \parallel y'}$$

A yardstick notion: **strong bisimilarity** \leftrightarrow (Milner 1980, Park 1981).

Definition

A binary relation \mathcal{R} over closed CCS terms is a **bisimulation** iff

- it is symmetric and
- if $t \mathcal{R} u$ and $t \xrightarrow{a} t'$, then $u \xrightarrow{a} u'$ for some u' such that $t' \mathcal{R} u'$.

$t \leftrightarrow u$ iff $t \mathcal{R} u$ for some bisimulation \mathcal{R} .

Theorem

Strong bisimilarity is the largest bisimulation and is a congruence over CCS and all of its extensions considered in this course.

Bisimilarity

A yardstick notion: **strong bisimilarity** \leftrightarrow (Milner 1980, Park 1981).

Definition

A binary relation \mathcal{R} over closed CCS terms is a **bisimulation** iff

- it is symmetric and
- if $t \mathcal{R} u$ and $t \xrightarrow{a} t'$, then $u \xrightarrow{a} u'$ for some u' such that $t' \mathcal{R} u'$.

$t \leftrightarrow u$ iff $t \mathcal{R} u$ for some bisimulation \mathcal{R} .

Theorem

Strong bisimilarity is the largest bisimulation and is a congruence over CCS and all of its extensions considered in this course.

Motivating Question

Is there a (finite) collection of sound equations that allows us to prove all the valid (ground) equivalences modulo \leftrightarrow over CCS?

Axiomatisation of Bisimilarity: The Saga



$$\begin{array}{ll} x + y = y + x & (x + y) + z = x + (y + z) \\ x + x = x & x + \mathbf{0} = x \end{array}$$

Let $x = \sum_{i \in I} a_i x_i$ and $y = \sum_{j \in J} b_j y_j$. Then,

$$\begin{aligned} x \parallel y = & \\ & \sum_{i \in I} a_i (x_i \parallel y) + \sum_{j \in J} b_j (x \parallel y_j) + \sum_{i \in I, j \in J, a_i = \bar{b}_j} \tau(x_i \parallel y_j) \end{aligned}$$

Theorem (Hennessy and Milner, circa 1980, JACM 1985)

$t \underline{\leftrightarrow} u \Leftrightarrow \mathcal{E} \vdash t = u$, for all **closed** CCS terms t, u . However, \mathcal{E} is neither complete nor ω -complete.

Proof technique: Elimination of \parallel from closed terms and normal forms.

An Axiom System \mathcal{E} for Bisimilarity over CCS



Groovy! But, can one obtain a **finite** axiomatisation?

ID Card

Symbols: \ll (left merge), $|$ (communication merge).

Origin: Together for the first time in “Process Algebra for Synchronous Communication”, Information & Control 60:109–137, 1984.

Operational Rules:

$$\frac{x \xrightarrow{a} x'}{x \ll y \xrightarrow{a} x' \parallel y} \quad \frac{x \xrightarrow{a} x', y \xrightarrow{\bar{a}} y'}{x | y \xrightarrow{\tau} x' \parallel y'}$$

Relationship with \parallel :

$$x \parallel y = (x \ll y) + (y \ll x) + (x | y)$$

Why Are \parallel and $|$ Good?

Theorem (Bergstra and Klop 1984, yours truly et al. ToCL 2009)

Bisimilarity affords a **finite** complete axiomatisation over CCS with left and communication merge!

Key to the above result:

$$(x + y) \parallel z = (x \parallel z) + (y \parallel z)$$

$$(x + y) | z = (x | z) + (y | z)$$

Completeness proof for open terms is tricky and uses equations such as

$$(x \parallel y) \parallel z = x \parallel (y \parallel z)$$

$$(x \parallel y) | z = (x | z) \parallel y$$

Why Are \parallel and $|$ Good?

Theorem (Bergstra and Klop 1984, yours truly et al. ToCL 2009)

Bisimilarity affords a **finite** complete axiomatisation over CCS with left and communication merge!

Question

Can auxiliary operators be synthesised automatically?

Why Are \parallel and $|$ Good?

Question

Can auxiliary operators be synthesised automatically?

Theorem (A., Bloom and Vaandrager 1994)

Yes, for each language whose semantics is given by rules in GSOS format!

Key ideas

- Smooth and distinctive operations \rightsquigarrow distributivity, action and inaction laws.
- Smooth but not distinctive operation = a sum of smooth and distinctive ones.
- Non-smooth operation = smooth operation, but possibly with (many) more arguments.

Concrete examples?

Why Are \parallel and $|$ Good?

Theorem (Bergstra and Klop 1984, yours truly et al. ToCL 2009)
Bisimilarity affords a **finite** complete axiomatisation over CCS with left and communication merge!

Cool! But, are auxiliary operators necessary?

Auxiliary Operators Are Necessary!

Theorem (Moller, PhD thesis 1989 and LICS 1990)

No “reasonable” congruence affords a finite equational axiomatisation over closed CCS terms. Bisimilarity is “reasonable”.

Auxiliary Operators Are Necessary!

Theorem (Moller, PhD thesis 1989 and LICS 1990)

No “reasonable” congruence affords a finite equational axiomatisation over closed CCS terms. Bisimilarity is “reasonable”.

- **Proof idea (for bisimilarity):** No finite, sound axiom system \mathcal{E} over CCS is powerful enough to “simulate” the expansion laws.
- **Implementation:** \mathcal{E} cannot prove the sound equation

$$a \parallel \sum_{i=1}^n a^i = a \left(\sum_{i=1}^n a^i \right) + \sum_{i=2}^{n+1} a^i \quad (n > \text{size}(\mathcal{E})) .$$

Technical Problem

How can one prove negative results like Moller's one?

Technical Problem

How can one prove negative results like Moller's one?

- 1 **Model-theoretic approach:** For each finite sound axiomatisation \mathcal{E} , find a **model** for \mathcal{E} , and a sound equation that fails in this model.

Technical Problem

How can one prove negative results like Moller's one?

- 1 **Model-theoretic approach:** For each finite sound axiomatisation \mathcal{E} , find a **model** for \mathcal{E} , and a sound equation that fails in this model.
- 2 **Compactness theorem:** Find an (infinite) sound and **complete** axiomatisation for which no finite subset is complete.

Technical Problem

How can one prove negative results like Moller's one?

- 1 **Model-theoretic approach:** For each finite sound axiomatisation \mathcal{E} , find a **model** for \mathcal{E} , and a sound equation that fails in this model.
- 2 **Compactness theorem:** Find an (infinite) sound and **complete** axiomatisation for which no finite subset is complete.

A classic example

Proving that the equational theory of regular expressions is not finitely based (V.N. Redko 1964, J.H. Conway 1971).

Redko's infinite complete axiomatisation includes:

$$(S_k) \quad a^* = (a^k)^*(1 + a + \dots + a^{k-1}) \quad (k \geq 1).$$

For each prime p , it isn't complete if one removes S_k with $k \geq p$.

Technical Problem

How can one prove negative results like Moller's one?

- 1 **Model-theoretic approach:** For each finite sound axiomatisation \mathcal{E} , find a **model** for \mathcal{E} , and a sound equation that fails in this model.
- 2 **Compactness theorem:** Find an (infinite) sound and **complete** axiomatisation for which no finite subset is complete.
- 3 **Proof-theoretic approach:** For each finite sound axiomatisation \mathcal{E} , find a **property of equations** that (A) is satisfied by all instantiations of axioms in \mathcal{E} , (B) is preserved by the rules of equational logic, and (C) fails for some sound equation.

Proof-theoretic Approach: Another Example

The Language

BCCS_Ω **nil** 0 **prefixing** *a* **variables** *x*
choice $t + u$ **divergence** Ω

where a is an action drawn from a non-empty, finite set A .

Operational semantics

- $t \xrightarrow{a} t'$ as before;
- Ω has no transitions;
- $t \downarrow$ (“Ω isn’t a summand of t ”).

Proof-theoretic Approach: Another Example

Prebisimilarity

A binary relation \mathcal{R} over closed BCCS_Ω terms is a **prebisimulation** iff for all $t \mathcal{R} u$,

- if $t \xrightarrow{a} t'$, then $u \xrightarrow{a} u'$ for some u' such that $t' \mathcal{R} u'$;
- if $t \downarrow$ then
 - $u \downarrow$ and
 - if $u \xrightarrow{a} u'$, then $t \xrightarrow{a} t'$ for some t' such that $t' \mathcal{R} u'$.

$t \preceq_\Omega u$ iff $t \mathcal{R} u$ for some prebisimulation \mathcal{R} .

Examples

$$\Omega \preceq_\Omega x$$
$$a^n(x + a\Omega) + \Omega \preceq_\Omega a^n x + a^{n+1}\mathbf{0} \quad (n \geq 0, \text{ when } A = \{a\}).$$

Proof-theoretic Approach: Another Example

Examples

$$\Omega \preceq_{\Omega} x$$
$$a^n(x + a\Omega) + \Omega \preceq_{\Omega} a^n x + a^{n+1}\mathbf{0} \quad (n \geq 0, \text{ when } A = \{a\}).$$

Theorem (A. Capobianco, Ingólfssdóttir and Luttk 2008)

Assume that $A = \{a\}$. Then, no finite set of inequations that are sound modulo \preceq_{Ω} can prove all of the inequations

$$a^n(x + a\Omega) + \Omega \preceq_{\Omega} a^n x + a^{n+1}\mathbf{0} \quad (n \geq 0).$$



Proof-theoretic Approach: Another Example

Theorem (A. Capobianco, Ingólfssdóttir and Luttk 2008)

Assume that $A = \{a\}$. Then, no finite set of inequations that are sound modulo \preceq_{Ω} can prove all of the inequations

$$a^n(x + a\Omega) + \Omega \preceq_{\Omega} a^n x + a^{n+1}\mathbf{0} \quad (n \geq 0).$$

The Invariant

Assume that \mathcal{E} is a finite set of inequations that are sound modulo \preceq_{Ω} . Let n be larger than the “depth” of \mathcal{E} . Furthermore, let t and u be terms such that $\mathcal{E} \vdash t \preceq u$ and

$$t + \Omega \preceq_{\Omega} u + \Omega \preceq_{\Omega} a^n x + a^{n+1}\mathbf{0}.$$

Assume that there is some t' such that $t \xrightarrow{a^n} t'$ and $x + a\Omega \preceq_{\Omega} t' \preceq_{\Omega} x + a\mathbf{0}$. Then there is some u' such that $u \xrightarrow{a^n} u'$ and $x + a\Omega \preceq_{\Omega} u' \preceq_{\Omega} x + a\mathbf{0}$.

The Invariant

Assume that \mathcal{E} is a finite set of inequations that are sound modulo \preceq_{Ω} . Let n be larger than the “depth” of \mathcal{E} . Furthermore, let t and u be terms such that $\mathcal{E} \vdash t \preceq u$ and

$$t + \Omega \preceq_{\Omega} u + \Omega \preceq_{\Omega} a^n x + a^{n+1} \mathbf{0}.$$

Assume that there is some t' such that $t \xrightarrow{a^n} t'$ and $x + a\Omega \preceq_{\Omega} t' \preceq_{\Omega} x + a\mathbf{0}$. Then there is some u' such that $u \xrightarrow{a^n} u'$ and $x + a\Omega \preceq_{\Omega} u' \preceq_{\Omega} x + a\mathbf{0}$.

The Bitter Truth

Simple language and standard proof technique don't mean “the proof is easy”. ☹

Back to the Saga: The Positive Message in the Negative Result

What we have learnt so far, in one pill

- 1 **Thou shalt add auxiliary operators to CCS!**
- 2 The left and communication merge do the job.

Question

Is there any alternative to Bergstra and Klop?

Back to the Saga: The Positive Message in the Negative Result

Question

Is there any alternative to Bergstra and Klop?

Hennessy's Merge (ID card)

Symbol: $\dot{\vee}$

Origin: "On the Relationship Between Time and Interleaving", CMA Preprint, 1981. (Journal version, 1988!)

Operational Rules:

$$\frac{x \xrightarrow{a} x'}{x \dot{\vee} y \xrightarrow{a} x' \| y} \quad \frac{x \xrightarrow{a} x', y \xrightarrow{\bar{a}} y'}{x \dot{\vee} y \xrightarrow{\tau} x' \| y'}$$

Relationship with $\|$: $x \| y = (x \dot{\vee} y) + (y \dot{\vee} x)$

Does Hennessy's merge help?

Question and an old conjecture

Does bisimilarity afford a finite equational axiomatisation over CCS with Υ ?

It seems that Υ does not have a finite equational axiomatization. (Bergstra and Klop, 1984, p. 118)

Does Hennessy's merge help?

Question and an old conjecture

Does bisimilarity afford a finite equational axiomatisation over CCS with γ ?

It seems that γ does not have a finite equational axiomatization. (Bergstra and Klop, 1984, p. 118)

Theorem (Fokkink, Ingólfssdóttir, Luttkik and yours truly, 2005)

Bergstra and Klop were right! Bisimilarity affords no finite equational axiomatisation over CCS with γ .

The pudding is in the proof!

Proof idea: No finite, sound axiom system \mathcal{E} over CCS with γ is powerful enough to “expand” the initial **synchronisation behaviour** of a term of the form $a \gamma p$ when p has large branching degree.

Implementation: We show that \mathcal{E} cannot prove the sound equation

$$a \gamma \sum_{i=0}^n \bar{a}a^i = a \left(\sum_{i=0}^n \bar{a}a^i \right) + \sum_{i=0}^n \tau a^i \quad (n > \text{size}(\mathcal{E})) .$$

Message (anthropomorphically):

Hennessy cannot replace Bergstra and Klop!

A natural question

Question to the community, yours truly, BEATCS 2003

Is there a single **binary** operator that can be added to CCS to finitely axiomatise bisimilarity?

A natural question

Question to the community, yours truly, BEATCS 2003

Is there a single **binary** operator that can be added to CCS to finitely axiomatise bisimilarity?



How does one prove anything like that?

... under some, IMHO reasonable, assumptions.

Assumptions on the binary operator $f(x, y)$

- 1 The operator f is specified using “CCS-like operational rules” (de Simone rules for the cognoscenti).
- 2 Parallel composition can be expressed using f “à la Bergstra and Klop”.
- 3 A mild one that plays a role in the proof of one technical lemma.

Assumptions on the binary operator $f(x, y)$

- 1 The operator f is specified using “CCS-like operational rules” (de Simone rules for the cognoscenti).
- 2 Parallel composition can be expressed using f “à la Bergstra and Klop”.
- 3 A mild one that plays a role in the proof of one technical lemma.

Theorem (A., Castiglioni, Fokkink, Ingófsdóttir, Luttkik, CSL 2021 and ToCL 2022)

Under the above assumptions, bisimilarity has no finite equational axiomatisation over CCS augmented with f .

The slow-cooker recipe (for five); timing \approx 2003–2020

- 1 Use our assumptions to map out the universe of “meaningful operators f ”.
- 2 Prove the negative result for all “meaningful f ” that distribute over $+$ in one argument (such as Hennessy’s merge).
- 3 Do the same for all “meaningful f ” that distribute over $+$ in neither argument (such as variations on \parallel).

The slow-cooker recipe (for five); timing \approx 2003–2020

- 1 Use our assumptions to map out the universe of “meaningful operators f ”.
- 2 Prove the negative result for all “meaningful f ” that distribute over $+$ in one argument (such as Hennessy’s merge).
- 3 Do the same for all “meaningful f ” that distribute over $+$ in neither argument (such as variations on \parallel).

Friday, April 30, 2021

PolyConc: Online collaboration to improve on a result on the equational theory of CCS modulo bisimilarity

The aim of this post is to try and start an online collaboration to improve the solution to a problem in the equational logic of processes that I posed in a [survey paper in 2003](#), namely

Can one obtain a finite axiomatisation of the parallel composition operator in bisimulation semantics by adding only one binary operator to the signature of (recursion, restriction, and relabelling free) CCS?

<https://processalgebra.blogspot.com/2021/04/polyconc-online-collaboration-to.html>

Definition

A closed term t is **(parallel) prime** if $t \not\leftrightarrow 0$, and $t \leftrightarrow u \parallel v$ implies $u \leftrightarrow 0$ or $v \leftrightarrow 0$.

A **parallel decomposition** of t is a finite multiset $[t_1, \dots, t_n]$ of primes such that

$$t \leftrightarrow t_1 \parallel \dots \parallel t_n.$$

Examples?

Definition

A closed term t is **(parallel) prime** if $t \not\leftrightarrow \mathbf{0}$, and $t \leftrightarrow u \parallel v$ implies $u \leftrightarrow \mathbf{0}$ or $v \leftrightarrow \mathbf{0}$.

A **parallel decomposition** of t is a finite multiset $[t_1, \dots, t_n]$ of primes such that

$$t \leftrightarrow t_1 \parallel \dots \parallel t_n.$$

Theorem (Milner and Moller 1993)

Every closed t in CCS has a unique parallel decomposition.

See also a vast generalisation by Luttkik and van Oostrom in TCS 2005.

Intermezzo: Unique Parallel Decompositions

Definition

A closed term t is **(parallel) prime** if $t \not\leftrightarrow \mathbf{0}$, and $t \leftrightarrow u \parallel v$ implies $u \leftrightarrow \mathbf{0}$ or $v \leftrightarrow \mathbf{0}$.

A **parallel decomposition** of t is a finite multiset $[t_1, \dots, t_n]$ of primes such that

$$t \leftrightarrow t_1 \parallel \dots \parallel t_n.$$

Theorem (Milner and Moller 1993)

Every closed t in CCS has a unique parallel decomposition.

See also a vast generalisation by Luttkik and van Oostrom in TCS 2005.

Cancellation

If $t \parallel v \leftrightarrow u \parallel v$ then $t \leftrightarrow u$.

The Language

BCCSP_B^P **nil** **0** **prefixing** *at* **variables** *x*
choice $t + u$ **CSP parallel** $t \parallel_B u$

where a is an action drawn from a non-empty, finite set A and $B \subseteq A$.

Other Parallel Composition Operators

The Language

BCCSP^p_B **nil** 0 **choice** $t + u$ **prefixing** $a t$ **CSP parallel** $t \parallel_B u$ **variables** x

where a is an action drawn from a non-empty, finite set A and $B \subseteq A$.

Its (Operational) Semantics (Sample Rules)

Given by **transitions** between terms of the form $t \xrightarrow{a} u$. These associate a loop-free finite automaton with each term. How?

$$\frac{x \xrightarrow{a} x'}{x \parallel_B y \xrightarrow{a} x' \parallel_B y} \quad a \notin B \qquad \frac{y \xrightarrow{a} y'}{x \parallel_B y \xrightarrow{a} x \parallel_B y'} \quad a \notin B$$

$$\frac{x \xrightarrow{a} x', y \xrightarrow{a} y'}{x \parallel_B y \xrightarrow{a} x' \parallel_B y'} \quad a \in B$$

Is Bisimilarity Finitely Axiomatisable over BCCSP_B^P ?

Theorem (A., Anastasiadi, Castiglioni and Ingólfssdóttir 2022)

Let $B \subset A$. Then, BCCSP_B^P does not have a finite, ground-complete axiomatisation modulo bisimilarity.



Is Bisimilarity Finitely Axiomatisable over BCCSP_B^p ?

Proof technique: Reduction mappings (A., Fokkink, Ingólfssdóttir, Mousavi).

Key ideas:

- L_{new} and L_{bad} two languages modulo \leftrightarrow .
- \mathcal{H} is hard family of sound equations over L_{bad} that no finite set of sound equations over L_{bad} can prove.
- There is a function from L_{new} and L_{bad} that **preserves soundness and provability**, and **reflects the equations in \mathcal{H}** .
Then, L_{new} is **not** finitely axiomatisable modulo \leftrightarrow .

Is Bisimilarity Finitely Axiomatisable over BCCSP_B^P ?

Proof technique: Reduction mappings (A., Fokkink, Ingólfssdóttir, Mousavi).

Key ideas:

- L_{new} and L_{bad} two languages modulo \leftrightarrow .
- \mathcal{H} is hard family of sound equations over L_{bad} that no finite set of sound equations over L_{bad} can prove.
- There is a function from L_{new} and L_{bad} that **preserves soundness and provability**, and **reflects the equations in \mathcal{H}** .
Then, L_{new} is **not** finitely axiomatisable modulo \leftrightarrow .

Note: “Structural mappings” preserve provability.

Structural = identity over variables + no new variables + compositionality.

Is Bisimilarity Finitely Axiomatisable over BCCSP_B^P ?

Consider the operator \parallel_A with rules

$$\frac{x \xrightarrow{a} x', y \xrightarrow{a} y'}{x \parallel_A y \xrightarrow{a} x' \parallel_A y'} \quad a \in A$$

Theorem (A., Anastasiadi, Castiglioni and Ingólfssdóttir 2022)

BCCSP_A^P has a finite, ground-complete axiomatisation modulo bisimilarity.

Proof technique: Elimination of \parallel_A and normal forms.

Question for you

Which equations would you use to remove all occurrences of \parallel_A from closed terms?

Limitations of the Reduction-based Technique

Let $BCCSP^P$ be the language that includes the BCCSP constructs and all the \parallel_B , with $B \subseteq A$.

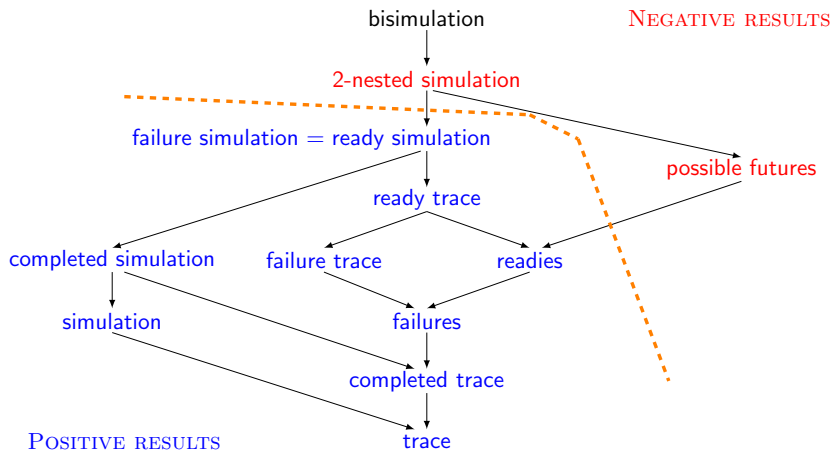
Theorem (A., Anastasiadi, Castiglioni and Ingólfssdóttir 2022)

There is no structural reduction from $BCCSP^P$ to CCS that reflects the hard family of equations used in the proof of Moller's negative results.



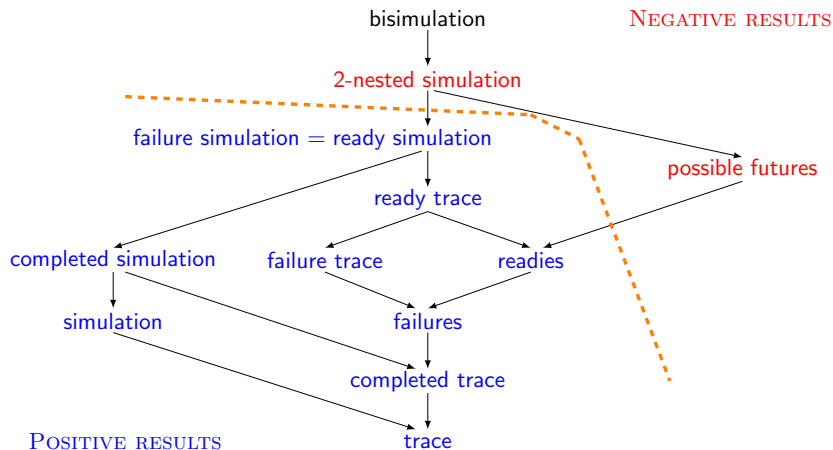
Source: <https://www.allformarathon.com/are-there-any-real-benefits-of-cold-shower-for-runners/>

A Journey in van Glabbeek's Spectrum (CONCUR 2020 and LMCS 2022)



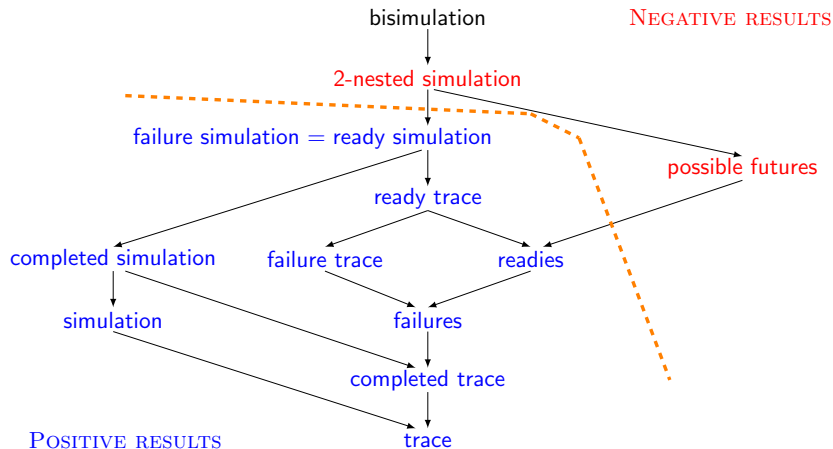
Results for **ground-complete** axiomatisations over CCS.

A Journey in van Glabbeek's Spectrum (CONCUR 2020 and LMCS 2022)



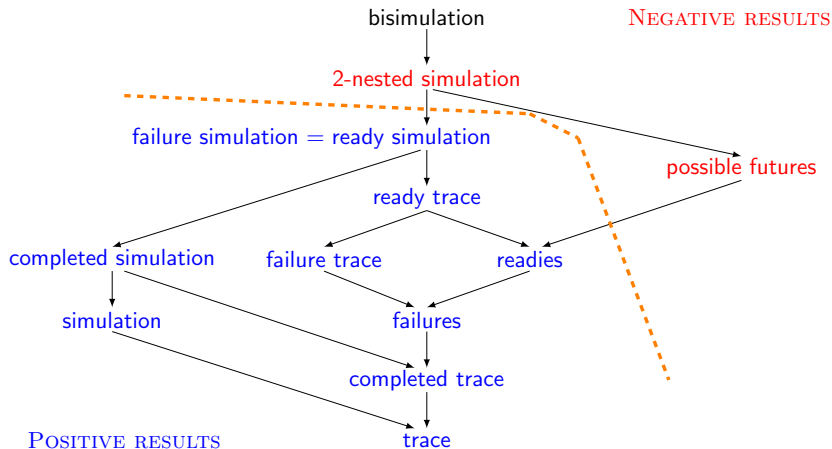
Question 1: How are these semantics defined?

A Journey in van Glabbeek's Spectrum (CONCUR 2020 and LMCS 2022)



Question 2: Are the inclusion relations in the above lattice strict?

A Journey in van Glabbeek's Spectrum (CONCUR 2020 and LMCS 2022)



Question 3: Are those relations congruences over CCS?

A Journey in the Spectrum: Ready Simulation

Definition

A binary relation \mathcal{R} over closed CCS terms is a **simulation** iff

- if $t \mathcal{R} u$ and $t \xrightarrow{a} t'$, then $u \xrightarrow{a} u'$ for some u' such that $t' \mathcal{R} u'$.

$t \preceq_S u$ iff $t \mathcal{R} u$ for some simulation \mathcal{R} .

Definition

A binary relation \mathcal{R} over closed CCS terms is a **ready simulation** iff it is a simulation and

- if $t \mathcal{R} u$ and $u \xrightarrow{a}$, then $t \xrightarrow{a}$.

$t \preceq_{RS} u$ iff $t \mathcal{R} u$ for some ready simulation \mathcal{R} .

Theorem

The relations \preceq_S and \preceq_{RS} are precongruences over CCS and are the largest simulation and ready simulation, respectively.

Some equations (modulo the kernel of \preceq_{RS})

Simplifying assumption: **No synchronisation!**

$$\begin{aligned}(\text{EL}_f) \quad & \sum_{i \in I} a_i x_i \parallel \sum_{j \in J} b_j y_j \approx \\ & \sum_{i \in I} a_i (x_i \parallel (\sum_{j \in J} b_j y_j)) + \sum_{j \in J} b_j ((\sum_{i \in I} a_i x_i) \parallel y_j)\end{aligned}$$

where the a_i 's are **all different** and so are the b_j 's.

There are **finitely many** such equations.

Some equations (modulo the kernel of \preceq_{RS})

Simplifying assumption: **No synchronisation!**

$$\begin{aligned} \text{(RSP1)} \quad & (ax + ay + u) \parallel (bz + bw + v) \approx \\ & (ax + u) \parallel (bz + bw + v) + (ay + u) \parallel (bz + bw + v) \\ & + (ax + ay + u) \parallel (bz + v) + (ax + ay + u) \parallel (bw + v) \end{aligned}$$

$$\begin{aligned} \text{(RSP2)} \quad & \sum_{i \in I} a_i x_i \parallel (by + bz + w) \approx \\ & \sum_{i \in I} a_i x_i \parallel (by + w) + \sum_{i \in I} a_i x_i \parallel (bz + w) \\ & + \sum_{i \in I} a_i (x_i \parallel (by + bz + w)) \end{aligned}$$

where the a_i 's are **all different**.

The Elimination Theorem

Using (EL_f) , $(RSP1)$, $(RSP2)$ and

$$\begin{aligned}x \parallel \mathbf{0} &\approx x \\x \parallel y &\approx y \parallel x,\end{aligned}$$

one can eliminate \parallel from all closed terms.

The Ground-Completeness Theorem

Using the above equations, classic axioms for $+$ and

$$a(bx + by + z) \approx a(bx + by + z) + a(bx + z),$$

one can prove all the sound equivalences between closed terms.

Some equations (modulo the kernel of \preceq_S)

Simplifying assumption: **No synchronisation!**

$$(EL_1) \quad ax \parallel by \approx a(x \parallel by) + b(ax \parallel y)$$

$$(SP1) \quad (x + y) \parallel (z + w) \approx \\ x \parallel (z + w) + y \parallel (z + w) + (x + y) \parallel z + (x + y) \parallel w$$

$$(SP2) \quad ax \parallel (y + z) \approx \\ a(x \parallel (y + z)) + ax \parallel y + ax \parallel z$$

The Elimination Theorem

Using (EL₁), (SP1), (SP2) and

$$x \parallel \mathbf{0} \approx x$$

$$x \parallel y \approx y \parallel x,$$

one can eliminate \parallel from all closed terms.

The Ground-Completeness Theorem

Using the above equations, classic axioms for $+$ and

$$a(x + y) \approx a(x + y) + ax,$$

one can prove all the sound equivalences between closed terms.

Definition

The set of traces of a closed term t is

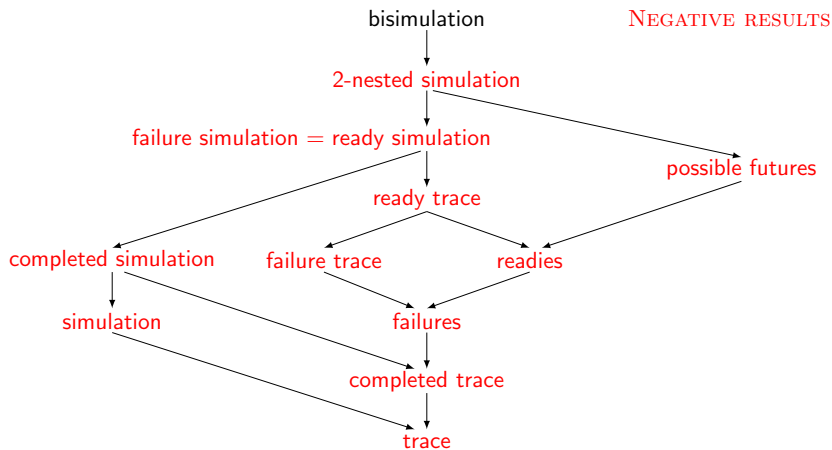
$$\text{Traces}(t) = \{s \mid s \in A^* \text{ and } t \xrightarrow{s} t', \text{ for some } t'\}.$$

$t \simeq_{\mathcal{T}} u$ iff $\text{Traces}(t) = \text{Traces}(u)$.

Tasks for you, later today!

- 1 Prove that $\simeq_{\mathcal{T}}$ is a congruence over CCS.
- 2 Prove that the equation $ax + ay \approx a(x + y)$ is sound $\simeq_{\mathcal{T}}$.
- 3 Find some sound equations that allow one to eliminate \parallel from closed terms.
- 4 Use the above results to obtain a ground-complete axiomatisation of $\simeq_{\mathcal{T}}$.

A Journey in the Spectrum II (Versteeg, Castiglioni and Luttik, CONCUR 2025)



Results for **complete** axiomatisations ☹️.

A Journey in the Spectrum II (Versteeg, Castiglioni and Luttik, CONCUR 2025): From RS to CT

Theorem (Precongruences)

No precongruence that includes \preceq_{RS} and is included in \preceq_{CT} is finitely axiomatisable over CCS.

Proof (using the proof-theoretic approach)

- Family of bad inequations:

$$x \preceq x + x^n \quad (n \geq 1, x^n = \underbrace{x \parallel \dots \parallel x}_{n\text{-times}}).$$

- The proof invariant:

*Let \mathcal{E} be a finite set of inequations that is sound modulo \preceq_{CT} . Let $n \geq 2$ be greater than the **breadth** of any term in \mathcal{E} . Assume that $\mathcal{E} \vdash t \preceq u$, $u \preceq_{CT} x + x^n$ and $t \simeq_{CT} x$. Then $u \simeq_{CT} x$.*

A Journey in the Spectrum II (Versteeg, Castiglioni and Luttik, CONCUR 2025): From RS to CT

Theorem (Congruences)

No congruence that includes the kernel of \preceq_{RS} and is included in the kernel of \preceq_{CT} is finitely axiomatisable over CCS.

Proof (using the proof-theoretic approach)

Family of bad equations:

$$ax + a(x + x^n) \approx a(x + x^n) \quad (n \geq 1, x^n = \underbrace{x \parallel \cdots \parallel x}_{n\text{-times}}).$$

Theorem (Congruences)

Assume that A is finite and non-empty. Then, no congruence that includes the kernel of \preceq_S and is included in the kernel of \preceq_T is finitely axiomatisable over CCS.

A Journey in the Spectrum II (Versteeg, Castiglioni and Luttik, CONCUR 2025): Simulation and Trace Semantics

Theorem (Congruences)

Assume that A is finite and non-empty. Then, no congruence that includes the kernel of \preceq_S and is included in the kernel of \preceq_T is finitely axiomatisable over CCS.

Proof for the case when A is a singleton (using the reduction approach)

- In this case, simulation = trace. **Why?**
- Can you reduce this axiomatisation problem to one we saw earlier?
- What do the operations $+$ and \parallel really do in trace semantics when A is a singleton?

A Journey in the Spectrum II (Versteeg, Castiglioni and Luttik, CONCUR 2025): Simulation and Trace Semantics

Theorem (Congruences)

Assume that A is finite and non-empty. Then, no congruence that includes the kernel of \preceq_S and is included in the kernel of \preceq_T is finitely axiomatisable over CCS.

Proof for the case when $|A| \geq 2$ (using the proof-theoretic approach)

Family of bad equations when $A = \{a, b\}$:

$$\begin{aligned} ab^n x + t_n &\approx t_n, \text{ where } n \geq 1 \text{ and} \\ t_n &= ab^n \mathbf{0} + b^n x \parallel x + a(x \parallel x^n). \end{aligned}$$

Question

Is parallel composition the only operator that spoils finite axiomatisability?

Question

Is parallel composition the only operator that spoils finite axiomatisability?

No way!

The bestiary of “equationally nasty operations” includes

- interrupt (see A., Fokkink, Ingólfssdóttir, Nain 2006),
- priority (several combinations of my co-authors, MSCS 2008, TCS 2011, TCS 2020),
- Kleene star and finite-state recursion (Sewell; A., Fokkink, Ingólfssdóttir).

A Menagerie of Nasty Operations: Interrupt

The Language

BPA▷

action a

variables x

interrupt $t \triangleright u$

choice $t + u$

sequencing $t \cdot u$

Semantics (of interrupt)

$$\frac{t \xrightarrow{a} \checkmark}{t \triangleright u \xrightarrow{a} \checkmark} \quad \frac{t \xrightarrow{a} t'}{t \triangleright u \xrightarrow{a} t' \triangleright u} \quad \frac{u \xrightarrow{a} \checkmark}{t \triangleright u \xrightarrow{a} t} \quad \frac{u \xrightarrow{a} u'}{t \triangleright u \xrightarrow{a} u' \cdot t}$$

A Menagerie of Nasty Operations: Interrupt

Semantics (of interrupt)

$$\frac{t \xrightarrow{a} \checkmark}{t \triangleright u \xrightarrow{a} \checkmark} \quad \frac{t \xrightarrow{a} t'}{t \triangleright u \xrightarrow{a} t' \triangleright u} \quad \frac{u \xrightarrow{a} \checkmark}{t \triangleright u \xrightarrow{a} t} \quad \frac{u \xrightarrow{a} u'}{t \triangleright u \xrightarrow{a} u' \cdot t}$$

Exercise

Show that there is no term t in BPA such that $t \stackrel{\leftrightarrow}{\sim} x \triangleright y$.

A Menagerie of Nasty Operations: Interrupt

Semantics (of interrupt)

$$\frac{t \xrightarrow{a} \checkmark}{t \triangleright u \xrightarrow{a} \checkmark} \quad \frac{t \xrightarrow{a} t'}{t \triangleright u \xrightarrow{a} t' \triangleright u} \quad \frac{u \xrightarrow{a} \checkmark}{t \triangleright u \xrightarrow{a} t} \quad \frac{u \xrightarrow{a} u'}{t \triangleright u \xrightarrow{a} u' \cdot t}$$

Theorem

Let \mathcal{E} be a finite collection of equations over the language $\text{BPA}_{\triangleright}$ that are sound modulo \leftrightarrow . Let $n > 3$ be larger than the size of each term in the equations in \mathcal{E} . Then \mathcal{E} cannot prove

$$\Phi_n \triangleright a \approx a + \sum_{i=2}^n a((a^{i-1} + a^3 + a) \triangleright a) + a\Phi_n.$$

In the above family, $\Phi_n = \sum_{i=1}^n t_i$ where $t_1 = a$ and $t_i = a(a^{i-1} + a^3 + a)$ for $i > 1$.

Theorem

Let \mathcal{E} be a finite collection of equations over the language $\text{BPA}_\triangleright$ that are sound modulo \leftrightarrow . Let $n > 3$ be larger than the size of each term in the equations in \mathcal{E} . Then \mathcal{E} cannot prove

$$\Phi_n \triangleright a \approx a + \sum_{i=2}^n a((a^{i-1} + a^3 + a) \triangleright a) + a\Phi_n.$$

In the above family, $\Phi_n = \sum_{i=1}^n t_i$ where $t_1 = a$ and $t_i = a(a^{i-1} + a^3 + a)$ for $i > 1$.

The life of a concurrency theorist is equationally hard, indeed...

Assumption: τ steps are **unobservable**.

Two Variations on Bisimilarity

A **symmetric** binary relation \mathcal{R} over closed CCS terms is a **weak bisimulation** iff for all $t \mathcal{R} u$, if $t \xrightarrow{a} t'$, then

- 1 $a = \tau$ and $t' \mathcal{R} u'$, or
- 2 $u(\xrightarrow{\tau})^* u_1 \xrightarrow{a} u_2(\xrightarrow{\tau})^* u'$ for some u' such that $t' \mathcal{R} u'$.

\mathcal{R} is a **branching bisimulation** if it is a weak bisimulation with the additional requirements that $t \mathcal{R} u_1$ and $t' \mathcal{R} u_2$ in item 2.

$t \xleftrightarrow{W} u$ iff $t \mathcal{R} u$ for some weak bisimulation \mathcal{R} .

$t \xleftrightarrow{B} u$ iff $t \mathcal{R} u$ for some branching bisimulation \mathcal{R} .

Examples

$$\begin{aligned} a\tau t \xleftrightarrow{W} at & \quad \tau t + t \xleftrightarrow{W} t & \quad a(t + \tau u) \xleftrightarrow{W} a(t + \tau u) + au \\ & & \quad a(\tau(t + u) + u) \xleftrightarrow{B} a(t + u) \end{aligned}$$

Two Variations on Bisimilarity

A **symmetric** binary relation \mathcal{R} over closed CCS terms is a **weak bisimulation** iff for all $t \mathcal{R} u$, if $t \xrightarrow{a} t'$, then

- 1 $a = \tau$ and $t' \mathcal{R} u'$, or
- 2 $u(\xrightarrow{\tau})^* u_1 \xrightarrow{a} u_2(\xrightarrow{\tau})^* u'$ for some u' such that $t' \mathcal{R} u'$.

\mathcal{R} is a **branching bisimulation** if it is a weak bisimulation with the additional requirements that $t \mathcal{R} u_1$ and $t' \mathcal{R} u_2$ in item 2.

$t \leftrightarrow_W u$ iff $t \mathcal{R} u$ for some weak bisimulation \mathcal{R} .

$t \leftrightarrow_B u$ iff $t \mathcal{R} u$ for some branching bisimulation \mathcal{R} .

Theorem

\leftrightarrow_W and \leftrightarrow_B are equivalence relations and the largest weak and branching bisimulation, respectively. However, they are **not** congruences!

Rooted Bisimulations

$t \leftrightarrow_{RW} u$ iff

- 1 if $t \xrightarrow{a} t'$, then $u(\xrightarrow{\tau})^* u_1 \xrightarrow{a} u_2(\xrightarrow{\tau})^* u'$ for some u' such that $t' \leftrightarrow_{\mathcal{W}} u'$, and
- 2 if $u \xrightarrow{a} u'$, then $t(\xrightarrow{\tau})^* t_1 \xrightarrow{a} t_2(\xrightarrow{\tau})^* t'$ for some t' such that $t' \leftrightarrow_{\mathcal{W}} u'$.

$t \leftrightarrow_{RB} u$ iff

- 1 if $t \xrightarrow{a} t'$, then $u \xrightarrow{a} u'$ for some u' such that $t' \leftrightarrow_{\mathcal{B}} u'$, and
- 2 if $u \xrightarrow{a} u'$, then $t \xrightarrow{a} t'$ for some t' such that $t' \leftrightarrow_{\mathcal{B}} u'$.

Rooted Bisimulations

$t \leftrightarrow_{RW} u$ iff

- 1 if $t \xrightarrow{a} t'$, then $u(\xrightarrow{\tau})^* u_1 \xrightarrow{a} u_2(\xrightarrow{\tau})^* u'$ for some u' such that $t' \leftrightarrow_W u'$, and
- 2 if $u \xrightarrow{a} u'$, then $t(\xrightarrow{\tau})^* t_1 \xrightarrow{a} t_2(\xrightarrow{\tau})^* t'$ for some t' such that $t' \leftrightarrow_W u'$.

$t \leftrightarrow_{RB} u$ iff

- 1 if $t \xrightarrow{a} t'$, then $u \xrightarrow{a} u'$ for some u' such that $t' \leftrightarrow_B u'$, and
- 2 if $u \xrightarrow{a} u'$, then $t \xrightarrow{a} t'$ for some t' such that $t' \leftrightarrow_B u'$.

Theorem

\leftrightarrow_{RW} and \leftrightarrow_{RB} are the **largest congruences** over CCS included in \leftrightarrow_W and \leftrightarrow_B , respectively.

Weak Semantics over CCS: Negative Results

Theorem (A., Anastasiadi, Castiglioni, Ingólfssdóttir, Luttkik; LICS 2021 and TCS 2025)

Let \sim be a congruence over CCS such that $\leftrightarrow_{RB} \subseteq \sim \subseteq \leftrightarrow_{RW}$. Then \sim has no finite (ground-)complete axiomatisation.

Proof Sketch

- 1 Identify an infinite family e_n ($n \geq 1$) of equations that are sound modulo \leftrightarrow_{RB} . **The one for \leftrightarrow works!**
- 2 Prove that no finite set of equations that are sound modulo \leftrightarrow_{RW} can prove them all!
- 3 Proof-theoretic approach. **Warning! Non-trivial details!** For instance,

$$a0 + a0 \leftrightarrow_{RW} a0$$

can be proved using the τ -laws.

Theorem (A., Castiglioni, Ingólfssdóttir, Luttk TCS 2025)

- 1 Assume that A is **finite** and \parallel is “pure interleaving”. Then, \leftrightarrow_{RB} and \leftrightarrow_{RW} have a finite equational axiomatisation over CCS with \parallel .
- 2 Assume that A is **infinite**. Then \leftrightarrow_{RB} has an equational axiomatisation over CCS with \parallel and $|$ that consists of finitely many axiom schemas.

Weak Semantics over CCS: Positive Results

Theorem (A., Castiglioni, Ingólfssdóttir, Luttk TCS 2025)

- 1 Assume that A is **finite** and \parallel is “pure interleaving”. Then, \leftrightarrow_{RB} and \leftrightarrow_{RW} have a finite equational axiomatisation over CCS with \parallel .
- 2 Assume that A is **infinite**. Then \leftrightarrow_{RB} has an equational axiomatisation over CCS with \parallel and $|$ that consists of finitely many axiom schemas.

Proof technique

Normal forms and distinguishing substitutions, using unique parallel decomposition. Normal forms for item 1:

$$\sum_{i \in I} a_i t_i + \sum_{j \in J} x_j \parallel u_j,$$

where each t_i and u_j is a normal form.

Weak Semantics over CCS: Positive Results

Proof technique

Normal forms and distinguishing substitutions, using unique parallel decomposition. Normal forms for item 1:

$$\sum_{i \in I} a_i t_i + \sum_{j \in J} x_j \parallel u_j,$$

where each t_i and u_j is a normal form.

Some equations for CCS with \parallel

$$x \parallel \tau y \leftrightarrow_{RB} x \parallel y \quad x \parallel (\tau y + z) \leftrightarrow_{RW} x \parallel (\tau y + z) + (x \parallel y)$$

A derivable equation modulo \leftrightarrow_{RB}

$$x \parallel \tau(y + z) + y \leftrightarrow_{RB} x \parallel (y + z)$$

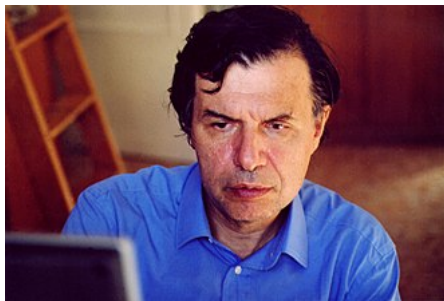
Some Open Problems

- Missing pieces in the axiomatisability puzzle for weak bisimulation semantics.
- Existence of finite complete axiomatisations for 2-nested simulation and possible future semantics over CCS when A is a singleton.
- PolyConc: Removing (some of) our assumptions from the CSL 2021/ToCL 2022 result. 😊
- Find general sufficient conditions ensuring finite axiomatisability of bisimilarity over process algebras.
- Find ways to transfer negative results across languages.
- Several very hard 😊, negative results to prove.

Join the fun!

“The future lies in standing on the shoulders of crowds.”
(Nature, 16 June 2021)

Conclusion: Bonus Take-home Message 😊

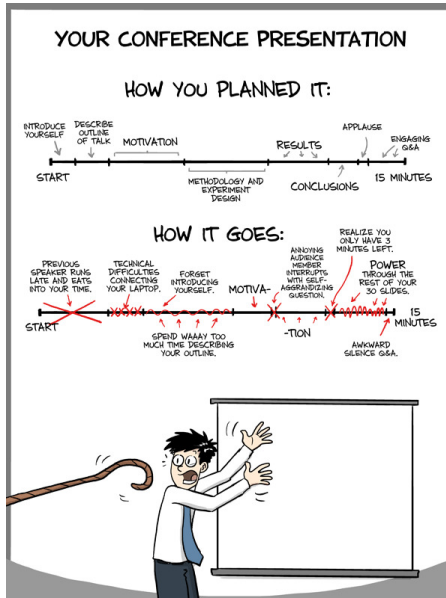


A Pearl of Wisdom from Giorgio Parisi

The attraction of a scientific field depends a lot on fashion and on the story-telling ability of its expositors. In reality, each field has its own interesting and difficult problems, which are an intellectual challenge that may stimulate the interest of curious observers.

(From *La Chiave, La Luce e L'Ubrico*, p. 10, Di Renzo Editore)

Conclusion: Bonus Take-home Message ☺



Four Requirements of a Good Lecture/Talk

- 1 Every lecture should make only **one main point**.
Audience = herd of cows [Gian-Carlo Rota]
- 2 **Never** run overtime.
Fifty minutes = one microcentury [John von Neumann].
- 3 Relate to your audience.
Tip: Everyone in the audience has come to listen to your lecture with the secret hope of hearing their work mentioned.
- 4 Give them something to take home.

Conclusion: Bonus Take-home Message 😊

Four Requirements of a Good Lecture/Talk

- 1 Every lecture should make only **one main point**.
Audience = herd of cows [Gian-Carlo Rota]
- 2 **Never** run overtime.
Fifty minutes = one microcentury [John von Neumann].
- 3 Relate to your audience.
Tip: Everyone in the audience has come to listen to your lecture with the secret hope of hearing their work mentioned.
- 4 Give them something to take home.

Advice (reloaded)

Scientific presentations are too often confusing, boring and overstuffed. Less is more!

Conclusion: Thanks to all my collaborators (sample below)!



Thank you for your attention!

We are grateful to the Icelandic Research Fund for funding our theoretical research.