

# Post-surjectivity and Balancedness of Cellular Automata over Groups

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**Abstract.** We discuss cellular automata over arbitrary finitely generated groups. We call a cellular automaton post-surjective if for any pair of asymptotic configurations, every preimage of one is asymptotic to a preimage of the other. The well known dual concept is pre-injectivity: a cellular automaton is pre-injective if distinct asymptotic configurations have distinct images. We prove that pre-injective, post-surjective cellular automata over surjunctive groups are reversible. In particular, post-surjectivity and reversibility are equivalent notions on amenable groups. We also prove that reversible cellular automata over arbitrary groups are balanced, that is, they preserve the uniform measure on the configuration space.

**Keywords:** cellular automata, reversibility, group theory.

## 1 Introduction

Cellular automata (briefly, CA) are parallel synchronous systems on regular grids where the next state of a point depends on the current state of a finite neighborhood. The grid is determined by a finitely generated group and can be visualized as the Cayley graph of the group. In addition to being a useful tool for simulations, CA also raise important and interesting questions, such as how properties of the global transition function (obtained by synchronous application of the local update rule at each point) are linked to each other.

One such relation is provided by Bartholdi's theorem [1], which links surjectivity of cellular automata to the preservation of the product measure on the space of global configurations: the latter implies the former, but is only implied

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by it if the grid satisfies additional properties. Under the same assumptions, the *Garden of Eden theorem* equates surjectivity with *pre-injectivity*, that is, the property that two asymptotic configurations (*i.e.*, two configurations differing on at most finitely many points) with the same image must be equal. In the general case, the preservation of the product measure can always be expressed combinatorially by the so-called *balancedness* property. Furthermore, bijectivity is always equivalent to reversibility, that is, the existence of an inverse that is itself a CA.

A parallel to pre-injectivity is *post-surjectivity*, which is described as follows: given a configuration  $e$  and its image  $c$ , every configuration  $c'$  asymptotic to  $c$  has a preimage  $e'$  asymptotic to  $e$ . While pre-injectivity is *weaker* than injectivity, post-surjectivity turns out to be *stronger* than surjectivity. It is natural to ask whether such trade-off between injectivity and surjectivity preserves bijectivity.

In this paper, which constitutes work in progress, we discuss the two properties above, and their links with reversibility. First, we prove that a reversible cellular automaton over any group is balanced. This gives an “almost positive” answer to a conjecture proposed in [2]. Next, we show that, in a broad setting that includes classical  $d$ -dimensional CA, post-surjectivity is equivalent to reversibility.

## 2 Background

If  $X$  is a set, we indicate by  $\mathcal{PF}(X)$  the collection of all finite subsets of  $X$ .

Let  $G$  be a group and let  $U, V \subseteq G$ . We put  $UV = \{x \cdot y \mid x \in U, y \in V\}$ , and  $U^{-1} = \{x^{-1} \mid x \in U\}$ . If  $U = \{g\}$  we write  $gV$  for  $\{g\}V$ .

A subset  $V$  of  $G$  is a *set of generators* for  $G$  if every  $g \in G$  can be written as  $g = w_0 \cdots w_{n-1}$  for some  $w = w_0 \cdots w_{n-1} \in (V \cup V^{-1})^*$ :  $G$  is *finitely generated* (briefly, f.g.) if  $V$  can be chosen finite. The *length* of  $g \in G$  w.r.t.  $V$  is the *minimum* length  $n = \|g\|_V$  of such a word  $w$ . The *distance* of  $g$  and  $h$  with respect to  $V$  is the length  $d_V(g, h)$  of  $g^{-1} \cdot h$ , *i.e.*, the length of the shortest path from  $g$  to  $h$  in the *Cayley graph* of  $G$  w.r.t.  $V$ , whose vertices are the elements of  $G$  and the edges are precisely the pairs  $(g, gx)$  with  $g \in G$  and  $x \in V \cup V^{-1}$ . The *disk* of center  $g$  and radius  $r$  w.r.t.  $V$  is the set  $D_{V,r}(g)$  of those  $h \in G$  such that  $d_V(g, h) \leq r$ : we omit  $g$  if it is the identity element  $1_G$  of  $G$ . The function  $\gamma_V(r) = |D_{V,r}|$  is the *growth rate* of  $G$  w.r.t.  $V$ . We omit  $V$  if irrelevant or clear from the context.

A group  $G$  is *amenable* if there exists a *finitely* additive probability measure  $\mu$ , defined on *every* subset of  $G$ , such that  $\mu(gU) = \mu(U)$  for every  $g \in G$  and  $U \subseteq G$ . The groups  $\mathbb{Z}^d$  are amenable whereas the *free groups* on two or more generators are not. For an introduction to amenability see, *e.g.*, [3, Chapter 4].

Let  $S$  be a finite set and let  $G$  be a group. The elements of the set  $\mathcal{C} = S^G$  are called *configurations*. The space of configurations is given the *prodiscrete topology* by considering  $S$  as a discrete set. This makes  $\mathcal{C}$  a compact space by Tychonoff’s theorem. In the prodiscrete topology, two configurations are “near” if they coincide on a “large” finite subset of  $G$ : indeed, if  $G$  is f.g., then setting

$d_V(c, e) = 2^{-n}$ , where  $n$  is the smallest  $r \geq 0$  such that  $c$  and  $e$  differ on  $D_{V,r}$ , defines a distance that induces the prodiscrete topology. Two configurations are *asymptotic* if they differ at most on finitely many points of  $G$ . A *pattern* is a function  $p : E \rightarrow S$  where  $E$  is a finite subset of  $G$ .

For  $g \in G$ , the *translation* by  $g$  is the function  $\sigma_g : \mathcal{C} \rightarrow \mathcal{C}$  that sends an arbitrary configuration  $c$  into the configuration  $\sigma_g(c)$  defined by

$$\sigma_g(c)(x) = c(g \cdot x) \quad \forall x \in G. \quad (1)$$

A *cellular automaton* (briefly, CA) on a group  $G$  is a triple  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  where the *alphabet*  $S$  is a finite set, the *neighborhood*  $\mathcal{N}$  is a finite subset of  $G$ , and the *local update rule* is a function that associates to every pattern  $p : \mathcal{N} \rightarrow S$  a state  $f(p) \in S$ . The *global transition function* of  $\mathcal{A}$  is the function  $F_{\mathcal{A}} : S^G \rightarrow S^G$  defined by

$$F_{\mathcal{A}}(c)(g) = f((\sigma_g(c))|_{\mathcal{N}}) \quad \forall g \in G : \quad (2)$$

that is, if  $\mathcal{N} = \{n_1, \dots, n_m\}$ , then  $F_{\mathcal{A}}(c)(g) = f(c(g \cdot n_1), \dots, c(g \cdot n_m))$ . Observe that (2) is continuous in the prodiscrete topology and commutes with translations, *i.e.*,  $F_{\mathcal{A}} \circ \sigma_g = \sigma_g \circ F_{\mathcal{A}}$  for every  $g \in G$ : the *Curtis-Hedlund-Lyndon theorem* states that the continuous and translation-commuting functions from  $\mathcal{C}$  to itself are precisely the CA global transition functions.

We may refer to injectivity, surjectivity, etc. of  $\mathcal{A}$  meaning the corresponding properties of  $F_{\mathcal{A}}$ . From basic facts about compact spaces follows that the inverse of the global transition function of a bijective cellular automaton  $\mathcal{A}$  is itself the global transition function of some cellular automaton: we then say that  $\mathcal{A}$  is *reversible*. A group  $G$  is *surjunctive* if every injective cellular automaton on  $G$  is surjective: currently, there are no known examples of non-surjunctive groups.

If  $G$  is a subgroup of  $\Gamma$  and  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  is a cellular automaton on  $G$ , the cellular automaton  $\mathcal{A}^\Gamma$  *induced* by  $\mathcal{A}$  on  $\Gamma$  has the same set of states, neighborhood, and local update rule as  $\mathcal{A}$ , and maps  $S^\Gamma$  (instead of  $S^G$ ) into itself via  $F_{\mathcal{A}^\Gamma}(c)(\gamma) = f(c(\gamma \cdot n_1), \dots, c(\gamma \cdot n_m))$  for every  $\gamma \in \Gamma$ . We may also say that  $\mathcal{A}$  is the *restriction* of  $\mathcal{A}^\Gamma$  to  $G$ . It is easily seen (cf. [3, Section 1.7]) that injectivity and surjectivity are preserved by both induction and restriction.

Let  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  be a CA on a group  $G$ , let  $p : E \rightarrow S$  be a pattern and let  $EN \subseteq M \in \mathcal{PF}(G)$ . A *preimage* of  $p$  on  $M$  for  $\mathcal{A}$  is a pattern  $q : M \rightarrow S$  such that  $F_{\mathcal{A}}(c)|_E = p$  for any  $c \in \mathcal{C}$  such that  $c|_M = q$ . An *orphan* is a pattern that has no preimage. Similarly, a configuration which is not in the image of  $\mathcal{C}$  by  $F_{\mathcal{A}}$  is a *garden of Eden* for  $\mathcal{A}$ . By a compactness argument, every garden of Eden contains an orphan. A cellular automaton  $\mathcal{A}$  is *pre-injective* if every two asymptotic configurations  $c, e$  satisfying  $F_{\mathcal{A}}(c) = F_{\mathcal{A}}(e)$  are equal. The *Garden of Eden theorem* (cf. [4]) states that, for CA on amenable groups, pre-injectivity is equivalent to surjectivity; on non-amenable groups, the two properties appear to be independent of each other.

### 3 Balancedness

**Definition 1.** A cellular automaton  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  on a group  $G$  is balanced if it satisfies the following property: For every two finite  $E, M \subseteq G$  such that  $E\mathcal{N} \subseteq M$ , every pattern  $p : E \rightarrow A$  has  $|S|^{|M|-|E|}$  preimages on  $M$ .

If  $G$  is finitely generated, it is easy to see that Definition 1 is equivalent to the following property: for every  $n \geq 0$  and for every  $r \geq 0$  such that  $\mathcal{N} \subseteq D_r$ , every pattern on  $D_n$  has exactly  $|S|^{\gamma(n+r)-\gamma(n)}$  preimages on  $D_{n+r}$ . In addition (cf. [2, Remark 18]) balancedness is preserved by both induction and restriction, hence, it can be determined by only checking it on the subgroup generated by the neighborhood.

**Lemma 1.** Let  $G$  be a group, let  $S$  be a finite set, and let  $F, H : S^G \rightarrow S^G$  be CA global transition functions.

1. If  $F$  and  $H$  are both balanced, then so is  $F \circ H$ .
2. If  $F$  and  $F \circ H$  are both balanced, then so is  $H$ .
3. If  $H$  and  $F \circ H$  are both balanced, and in addition  $H$  is reversible, then  $F$  is balanced.

*Proof.* It is sufficient to consider the case when  $G$  is finitely generated, e.g., by the union of the neighborhoods of the two CA. Let  $r \geq 0$  be large enough that the next value of a point according to both  $F$  and  $H$  only depends on the current state of a neighborhood of radius  $r$  of the point.

First, suppose  $F$  and  $H$  are both balanced. Let  $p : D_n \rightarrow S$ : by balancedness,  $p$  has exactly  $|S|^{\gamma(n+r)-\gamma(n)}$  preimages over  $D_{n+r}$  according to  $H$ . In turn, every such preimage has  $|S|^{\gamma(n+2r)-\gamma(n+r)}$  preimages over  $D_{n+2r}$  according to  $F$ , again by balancedness. All the preimages of  $p$  on  $D_{n+2r}$  by  $F \circ H$  have this form, so  $p$  has  $|S|^{\gamma(n+2r)-\gamma(n)}$  preimages on  $D_{n+2r}$  according to  $F \circ H$ . This holds for every  $n \geq 0$  and  $p : D_n \rightarrow S$ : thus,  $F \circ H$  is balanced.

Now, suppose  $F$  is balanced but  $H$  is not. Take  $n \geq 0$  and  $p : D_n \rightarrow S$  having  $M > |S|^{\gamma(n+r)-\gamma(n)}$  preimages according to  $H$ : by balancedness of  $F$ , each of these  $M$  preimages has exactly  $|S|^{\gamma(n+2r)-\gamma(n+r)}$  preimages according to  $F$ . Then  $p$  has overall  $M \cdot |S|^{\gamma(n+2r)-\gamma(n+r)} > |S|^{\gamma(n+2r)-\gamma(n)}$  preimages on  $D_{n+2r}$  according to  $F \circ H$ , which is thus not balanced.

Finally, suppose  $H$  and  $F \circ H$  are balanced and  $H$  is reversible. As the identity CA is clearly balanced, by the previous point (with  $H$  taking the role of  $F$  and  $H^{-1}$  that of  $H$ )  $H^{-1}$  is balanced. By the first point, as  $F \circ H$  and  $H^{-1}$  are both balanced, so is their composition  $F = F \circ H \circ H^{-1}$ .

**Corollary 1.** A reversible CA and its inverse are either both balanced or both unbalanced.

Definition 1 states that balanced CA give at least one preimage to each pattern, thus are surjective. On amenable groups (cf. [1]) the converse is also true; on non-amenable groups (ibid.) some surjective cellular automata are not balanced. In the last section of [2], we ask ourselves the question whether *injective*

cellular automata are balanced. The answer is that, at least in all cases currently known, it is so.

**Theorem 1.** *Reversible CA are balanced.*

*Proof.* It is not restrictive to suppose that  $G$  is finitely generated. Let  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  be a reversible cellular automaton on  $G$  and let  $F = F_{\mathcal{A}}$  be its global transition function. Fix a finite set of generators  $V$  for  $G$ . For  $n \geq 0$  let  $D_n$  be the disk of radius  $n$  center in the identity element of  $G$ . Let  $\mathcal{N}$  be a neighborhood for both  $F$  and  $F^{-1}$ : if  $r \geq 0$  is large enough that  $\mathcal{N} \subseteq D_r$ , then for every  $c \in S^G$  the state of both  $F(c)$  and  $F^{-1}(c)$  on  $D_n$  is determined by the state of  $c$  in  $D_{n+r}$ .

Let  $p_1, p_2 : D_n \rightarrow S$  be two patterns. It is not restrictive to suppose  $n \geq r$ . We exploit reversibility of  $F$  to prove that they have the same number of preimages on  $D_{n+r}$  by constructing a bijection  $T_{1,2}$  between the set of the preimages of  $p_1$  and that of the preimages of  $p_2$ . As this will hold whatever  $n, p_1$ , and  $p_2$  are,  $F$  will be balanced.

For  $i = 1, 2$  let  $Q_i$  be the set of the preimages of  $p_i$  on  $D_{n+r}$ . Given  $q_1 \in Q_1$ , and having fixed a state  $0 \in S$ , we proceed as follows:

1. First, we extend  $q_1$  to a configuration  $e_1$  by setting  $e_1(g) = 0$  for every  $g \notin D_{n+r}$ .
2. Then, we apply  $F$  to  $e_1$ , obtaining  $c_1$ . By construction,  $c_1|_{D_n} = p_1$ .
3. Next, from  $c_1$  we construct  $c_2$  by replacing  $p_1$  with  $p_2$  inside  $D_n$ .
4. Then, we apply  $F^{-1}$  to get a new configuration  $e_2$ .
5. Finally, we call  $q_2$  the restriction of  $e_2$  to  $D_{n+r}$ .

Observe that  $q_2 = e_2|_{D_{n+r}} \in Q_2$ . This follows immediately from  $\mathcal{A}$  being reversible: by construction, if we apply  $F$  to  $e_2$ , and restrict the result to  $D$ , we end up with  $p_2$ . We call  $T_{1,2} : Q_1 \rightarrow Q_2$  the function computed by performing the steps from 1 to 5, and  $T_{2,1} : Q_2 \rightarrow Q_1$  the one obtained by the same steps with the roles of  $q_1$  and  $q_2$  swapped.

Now, by construction,  $c_1$  and  $c_2$  coincide outside  $D_n$ , and their updates  $e_1$  and  $e_2$  by  $F^{-1}$  coincide outside  $D_{n+r}$ : but  $e_1$  is 0 outside  $D_{n+r}$ , so that updating  $c_2$  to  $e_2$  is the same as extending  $q_2$  with 0 outside  $D_{n+r}$ . This means that  $T_{2,1}$  is the inverse of  $T_{1,2}$ : consequently,  $Q_1$  and  $Q_2$  have the same number of elements. As  $p_1$  and  $p_2$  are arbitrary, any two patterns on  $D_n$  have the same number of preimages on  $D_{n+r}$ . As  $n \geq 0$  is also arbitrary,  $\mathcal{A}$  is balanced.

**Corollary 2.** *Injective cellular automata over surjective groups are balanced.*

## 4 Post-surjectivity

**Definition 2.** *A cellular automaton is post-surjective if, given a configuration  $c$  and a predecessor  $e$  of  $c$ , every configuration  $c'$  asymptotic to  $c$  has a predecessor  $e'$  asymptotic to  $e$ .*

Post-surjective CA are surjective: if  $f(a, \dots, a) = b$ , then we can always find a predecessor for any pattern by pasting it over the  $b$ -uniform configuration. The vice versa is not true: the xor with the right-hand neighbor is surjective, but while  $\dots 000 \dots$  is a fixed point,  $\dots 010 \dots$  only has preimages that take value 1 infinitely often. Also, from the Garden of Eden theorem follows

**Proposition 1.** *Post-surjective CA on amenable groups are pre-injective.*

In addition, via a reasoning similar to the one employed in [3, Section 1.7] and [2, Remark 18], we can prove

**Proposition 2.** *Let  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  be a cellular automaton on the group  $G$ , let  $\Gamma$  be a group that contains  $G$ , and let  $\mathcal{A}^\Gamma$  be the CA induced by  $\mathcal{A}$  on  $\Gamma$ . Then  $\mathcal{A}$  is post-surjective if and only if  $\mathcal{A}^\Gamma$  is post-surjective.*

*In particular, post-surjectivity of arbitrary CA is equivalent to post-surjectivity on the subgroup generated by the neighborhood.*

**Theorem 2.** *One-dimensional post-surjective CA are reversible.*

*Proof.* For  $u \in S^*$  let  $u^\omega : \{k, k+1, \dots\} \rightarrow S$  and  $\omega u : \{\dots, h-2, h-1\} \rightarrow S$  be obtained by juxtaposing copies of  $u$ , without keeping information on  $h$  or  $k$ ; let then  ${}^\omega u^\omega = {}^\omega u u^\omega$  with  $h = k$ .

Suppose, for the sake of contradiction, that  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  is a post-surjective one-dimensional CA which is not reversible. As it is well known (cf. [5, Theorem 7]), there exist  $u, v, w \in S^*$  such that  $e_u = {}^\omega u^\omega$  and  $e_v = {}^\omega v^\omega$  are different and have the same image  $c = {}^\omega w^\omega$ . It is not restrictive to suppose  $|u| = |v| = k \cdot |w|$ .

By construction, the two configurations  $c_{u,v} = F({}^\omega u v^\omega)$  and  $c_{v,u} = F({}^\omega v u^\omega)$  are both asymptotic to  $c$ : by post-surjectivity, there exist  $x, y \in S^*$  such that  $e_{u,v} = {}^\omega u x v^\omega$  and  $e_{v,u} = {}^\omega v y u^\omega$  satisfy  $F(e_{u,v}) = F(e_{v,u}) = c$ . Again, it is not restrictive to suppose that  $|x| = |y| = m \cdot |u|$  for some  $m \geq 1$ , and that  $x$  and  $y$  start at the same point  $i \in \mathbb{Z}$ .

Let now consider the configuration  $e' = {}^\omega u x v^N y u^\omega$ : by our previous discussion, for  $N$  large enough (e.g., so that  $x$  and  $y$  do not have overlapping neighborhoods)  $F_{\mathcal{A}}(e')$  cannot help but be  $c$ . Now, recall that  $e_u$  is also a pre-image of  $c$  and note that  $e_u$  and  $e'$  are asymptotic but distinct. This means that  $\mathcal{A}$ , which we know is surjective, is not pre-injective, contradicting the Garden of Eden theorem.

The proof of Theorem 2 depends critically on dimension 1, where CA that are injective on periodic configurations are reversible. Moreover, in our final step, we invoke the Garden of Eden theorem, which we know from [4] not to hold for CA on generic groups. Not all is lost, however: maybe, by explicitly adding the pre-injectivity requirement, we can recover Theorem 2 on more general groups? It turns out that it is so: at least, in all known cases.

**Lemma 2 (cf. [6, Lemma 29]).** *Let  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  be a pre-injective, post-surjective CA on the group  $G$ . There exists a finite  $M \in \mathcal{PF}(G)$  with the following property: For every pair  $(e, e')$  of asymptotic configurations, if  $c = F_{\mathcal{A}}(e)$  and  $c' = F_{\mathcal{A}}(e')$  disagree only on  $g \in G$ , then  $e$  and  $e'$  disagree at most on  $gM$ .*

*Proof.* It is not restrictive to suppose  $1_G \in \mathcal{N}$ . It is also not restrictive to suppose  $g = 1_G$ , the general case being recovered through commutation of  $F_{\mathcal{A}}$  with translations.

Let  $e \in \mathcal{C}$ . By pre-injectivity and post-surjectivity, there are precisely  $|S| - 1$  configurations  $e'$  asymptotic to  $e$  whose image  $c'$  disagree with  $c = F_{\mathcal{A}}(e)$  at most on  $1_G$ : let  $M_e \in \mathcal{PF}(G)$  contain all the points where any of these  $e'$  differs from  $e$ , i.e.,  $e|_{G \setminus M_e} = e'|_{G \setminus M_e}$  for all said  $e'$ . We claim that there exists a finite superset  $C_e$  of  $M_e$  with the following property: if  $e_1$  coincides with  $e$  inside  $C_e$ ,  $e'_1$  is asymptotic to  $e_1$ , and  $c_1 = F_{\mathcal{A}}(e_1)$  coincides with  $c'_1 = F_{\mathcal{A}}(e'_1)$  except at most on  $1_G$ , then  $e'_1$  coincides with  $e_1$  outside  $M_e$ .

To prove our claim, let  $C_e$  be a suitable finite superset of  $N_e = M_e \mathcal{N} \mathcal{N}^{-1}$ . Let  $e_1 \in [e]_{C_e}$ : let then  $e'_1$  be asymptotic to  $e_1$  (not necessarily equal outside  $C_e$ ) and such that  $c_1 = F_{\mathcal{A}}(e_1)$  and  $c'_1 = F_{\mathcal{A}}(e'_1)$  coincide outside  $1_G$ . Let now  $c' : G \rightarrow S$  satisfy  $c'(1_G) = c'_1(1_G)$  and  $c'(x) = c(x)$  for every  $x \neq 1_G$ : by pre-injectivity and post-surjectivity combined, there exists a unique  $e'$  asymptotic to  $e$  such that  $c' = F_{\mathcal{A}}(e')$ , and such  $e'$  coincides with  $e$  outside  $M_e$ . But

$$e''_1(x) = \begin{cases} e'(x) & \text{if } x \in C_e \\ e_1(x) & \text{otherwise} \end{cases}$$

is also a preimage of  $c'_1$  asymptotic to  $e_1$ : by pre-injectivity,  $e''_1 = e'_1$ . By construction,  $e''_1$  agrees with  $e_1$  outside  $M_e$ : and so does  $e'_1$ , which proves our claim.

Now, as  $e$  varies in  $\mathcal{C}$ , the cylinders  $[e]_{C_e}$  clearly form a covering of  $\mathcal{C}$ : as the latter is compact, there exists  $U \in \mathcal{PF}(\mathcal{C})$  such that  $\bigcup_{e \in U} [e]_{C_e} = \mathcal{C}$ . Then  $M = \bigcup_{e \in U} M_e$  has the property required in the thesis.

**Corollary 3.** *Let  $\mathcal{A}$  be a pre-injective, post-surjective CA on the group  $G$ . There exists  $M \in \mathcal{PF}(G)$  with the following property: For every pair  $(e, e')$  of asymptotic configurations, if  $c = F_{\mathcal{A}}(e)$  and  $c' = F_{\mathcal{A}}(e')$  disagree at most on  $D$ , then  $e$  and  $e'$  disagree at most on  $DM$ .*

**Theorem 3.** *Let  $G$  be a surjunctive group. Every pre-injective, post-surjective cellular automaton  $\mathcal{A} = \langle S, \mathcal{N}, f \rangle$  on  $G$  is reversible.*

*Proof.* By Proposition 2, it is sufficient to consider the case where  $G$  is countable.

Let  $F = F_{\mathcal{A}}$ . Let  $M$  be as in Lemma 2: we show that  $F$  has an inverse  $H$  with neighborhood  $\mathcal{N} = M^{-1}$ . Actually, we prove that  $H$  is a *right* inverse of  $F$ : but a right inverse of a surjective function is injective, thus  $H$  is also surjective because of surjunctivity of  $G$ , so that  $F$  is indeed the inverse of  $H$ .

To construct the local update rule  $h : S^{\mathcal{N}} \rightarrow S$ , we proceed as follows. Fix a uniform configuration  $u$  and let  $v = F(u)$ . Given  $g \in G$  and  $p : \mathcal{N} \rightarrow S$ , for every  $h \in G$  put

$$y_{g,p}(h) = \begin{cases} p(g^{-1}h) & \text{if } h \in g\mathcal{N} \\ v(h) & \text{otherwise} \end{cases} \quad (3)$$

that is, let  $y_{g,p}$  be obtained from  $v$  by cutting away the piece with support  $g\mathcal{N}$  and pasting  $p$  as a “patch” for the “hole”. By post-surjectivity and pre-injectivity combined, there exists a unique  $x_{g,p} \in \mathcal{C}$  asymptotic to  $u$  such that

$F(x_{g,p}) = y_{g,p}$ . Let then

$$h(p) = x_{g,p}(g). \quad (4)$$

Observe that (4) does *not* depend on  $g$ : if  $g' = h \cdot g$ , then  $y_{g',p} = \sigma_h(F(x_{g,p})) = F(\sigma_h(x_{g,p}))$ , so that  $x_{g',p} = \sigma_h(x_{g,p})$  by pre-injectivity, and  $x_{g',p}(g') = x_{g,p}(g)$ .

Let now  $y$  be *any* configuration asymptotic to  $v$  such that  $y|_{g\mathcal{N}} = p$ , and let  $x$  the unique preimage of  $y$  asymptotic to  $v$ : we claim that  $x(g) = h(p)$ . To prove this, we observe that, as  $y$  and  $y_{g,p}$  are both asymptotic to  $v$ , there exists a finite sequence  $y_0 = y_{g,p}, y_1, \dots, y_m = y$  such that, for every  $i = 1, \dots, m$ ,  $y_i$  disagrees with  $y_{i-1}$  on a single point  $\ell_i$ , which by construction does not belong to  $g\mathcal{N}$ . Consider then the unique preimages  $x_i$  of  $y_i$  asymptotic to  $u$ : by Lemma 2, for every  $i = 1, \dots, m$ ,  $x_i$  coincides with  $x_{i-1}$  outside  $\ell_i M$ , which does *not* contain  $g$  as  $g \in \ell_i M$  is equivalent to  $\ell_i \in g\mathcal{N}$ , which is not the case. As  $x_0 = x_{g,p}$  because of pre-injectivity, we can conclude that  $x_{g,p}(g) = h(p)$ .

The argument above holds whatever the pattern  $p : \mathcal{N} \rightarrow S$  is. By applying it finitely many times to arbitrary finitely many points, we determine the following fact: if  $y$  is any configuration which is asymptotic to  $v$ , then  $F(H(y)) = y$ . But the set of configurations asymptotic to  $v$  is dense in  $\mathcal{C}$ , so it follows from continuity of  $F$  and  $H$  that  $F(H(y)) = y$  for every  $y \in \mathcal{C}$ .

**Corollary 4.** *A cellular automaton on an amenable group (in particular, a  $d$ -dimensional CA) is post-surjective if and only if it is reversible.*

## 5 Conclusions

We have given a little contribution to a broad research theme by examining some links between different properties of cellular automata. In particular, we have seen how reversibility can still be obtained by weakening injectivity while strengthening surjectivity. Whether other such “transfers” are possible, is a field that we believe deserving to be explored. Another interesting issue is whether post-surjective cellular automata which are not pre-injective do or do not exist: by Bartholdi’s theorem, any such example would involve non-amenable groups.

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